Approval Authority Meeting
Thursday, February 14, 2013
10:00 a.m.

LOCATION
Alameda County Sheriff's Office OES
4985 Broder Blvd., Dublin, CA 94568
OES Assembly Room

AGENDA

1. **CALL TO ORDER**
    ROLL CALL

UASI Chair	Anne Kronenberg, City and County of San Francisco
UASI Vice-Chair	Rich Lucia, County of Alameda
Member	Raymond Guzman, City and County of San Francisco
Member	Renee Domingo, City of Oakland
Member	Chris Godley, City of San Jose
Member	Emily Harrison, County of Santa Clara
Member	Mike Casten, County of Contra Costa
Member	Bob Doyle, County of Marin
Member	Sherrie L. Collins, County of Monterey
Member	Carlos Bolanos, County of San Mateo
Member	Mark Aston, County of Sonoma
Member	Brendan Murphy, CalEMA
General Manager	Craig Dziedzic

2. **APPROVAL OF THE MINUTES** (Discussion, Possible Action)
    Discussion and possible action to approve the draft minutes from the January 10, 2013 regular meeting or take any other action related to the matter. *(Document for this item includes draft minutes from January 10, 2013.)* 5 mins.

3. **GENERAL MANAGER'S REPORT**
    The General Manager will give an update regarding the following:
    a) Management Team Staff Update (Discussion Only)
    b) Homeland Security Conference (Discussion)
    c) Bay Area UASI Master MOU and Bylaws (Discussion, Possible Action)

    Possible action on the Bay Area UASI Master MOU and Bylaws or take any action related to the matter. *(Documents for these items are the Management Team Staff Update, Homeland Security Conference, and the Bay Area UASI MOU and Bylaws.)* 10 mins.

4. **REPORT FROM THE ADVISORY GROUP** (Discussion, Possible Action)
Report from the Chair of the Advisory Group. Possible action to approve any recommendation(s) or take any other action related to this matter. (*Document for this item is a report from Mike Sena.*) 10 mins.

5. **ISRAEL TRIP REPORT** (Discussion)
Anne Kronenberg will provide a report on the Harvard's National Preparedness Leadership Initiative Program's Israel Trip. Possible action to support any recommendation(s) or take any other action related to this matter. (*Document for this item is a report from Anne Kronenberg.*) 10 mins.

6. **AD HOC LEGISLATIVE COMMITTEE WASHINGTON, DC TRIP** (Discussion)
Renee Domingo will provide a report on the Ad Hoc Legislative Committee Washington, DC Trip. Possible action to support any recommendation(s) or take any other action related to this matter. (*Document for this item is a report from Renee Domingo.*) 10 mins.

7. **REGIONAL TRAINING AND EXERCISE PROGRAM ANNUAL REPORT** (Discussion, Possible Action)
Dennis Houghtelling will present the Regional Training and Exercise Program Annual report. Possible action to approve any recommendation(s) or take any other action related to this matter. (*Document for this item is a report from Dennis Houghtelling.*) 10 mins.

8. **REGIONAL CATASTROPHIC PREPAREDNESS GRANT PROGRAM (RCPGP) A.) PROJECT UPDATES AND B.) GRANT EXPENDITURE REPORT** (Discussion, Possible Action)
Janell Myhre will provide an update of RCPGP projects and Tristan Levardo will give a status report on the grant expenditures. Possible action to approve any recommendation(s) or take any other action related to this matter. *(Document for this item are reports from Janell Myhre and Tristan Levardo.)* 5 mins.

9. **REPORT ON TRAVEL EXPENSES** (Discussion)
Tristan Levardo will provide an update on the quarterly travel report. *(Document for this item is a report from Tristan Levardo.)* 5 mins.

10. **REPORT FROM THE BAY AREA REGIONAL INTEROPERABLE COMMUNICATIONS SYSTEM JOINT POWERS AUTHORITY(BayRICS JPA)** (Discussion, Possible Action)
Report from Barry Fraser regarding the BayRICS JPA. Possible action to approve the report or take any other action related to this matter. (*Document for this item is a report from Barry Fraser.*) 10 mins.

11. **TRACKING TOOL** (Discussion, Possible Action)
Review the tracking tool for accuracy and confirmation of deadlines. Possible action to add or clarify tasks for the Management Team or take other action related to the tracking tool. (*Document for this item is the UASI Approval Authority Tracking Tool.*) 5 mins.

12. **ANNOUNCEMENTS-GOOD OF THE ORDER**

13. **FUTURE AGENDA ITEMS** (Discussion)
The Approval Authority members will discuss agenda items for future meetings.

14. **GENERAL PUBLIC COMMENT**

Members of the Public may address the Approval Authority for up to three minutes on items within the jurisdiction of the Bay Area UASI Approval Authority.

15. ADJOURNMENT

If any materials related to an item on this agenda have been distributed to the Approval Authority members after distribution of the agenda packet, those materials are available for public inspection at the Department of Emergency Management located at 1011 Turk Street, San Francisco, CA 94102 during normal office hours, 8:00 a.m.- 5:00 p.m.

<u>**Public Participation**</u>**:**

It is the policy of the Approval Authority to encourage and permit public participation and comment on matters within the Approval Authority's jurisdiction, as follows.

- *Public Comment on Agenda Items*. The Approval Authority will take public comment on each item on the agenda. The Approval Authority will take public comment on an action item before the Approval Authority takes action on that item. Persons addressing the Approval Authority on an agenda item shall confine their remarks to the particular agenda item. For each agenda item, each member of the public may address the Approval Authority once, for up to three minutes. The Chair may limit the public comment on an agenda item to less than three minutes per speaker, based on the nature of the agenda item, the number of anticipated speakers for that item, and the number and anticipated duration of other agenda items.

- *General Public Comment*. The Approval Authority shall include general public *comment* as an agenda item at each meeting of the Approval Authority. During general public comment, each member of the public may address the Approval Authority on matters within the Approval Authority's jurisdiction. Issues discussed during general public comment must not appear elsewhere on the agenda for that meeting. Each member of the public may address the Approval Authority once during general public comment, for up to three minutes. The Chair may limit the total general public comment to 30 minutes and may limit the time allocated to each speaker depending on the number of speakers during general public comment and the number and anticipated duration of agenda items.

- *Speaker Identification*. Individuals making public comment may be requested, but not required, to identify themselves and whom they represent.

- *Designated Public Comment Area*. Members of the public wishing to address the Approval Authority must speak from the public comment area.

- *Comment, Not Debate*. During public comment, speakers shall address their remarks to the Approval Authority as a whole and not to individual Approval Authority representatives, the General Manager or Management Team members, or the audience. Approval Authority Representatives and other persons are not required to respond to questions from a speaker.

Approval Authority Representatives shall not enter into debate or discussion with speakers during public comment, although Approval Authority Representatives may question speakers to obtain clarification. Approval Authority Representatives may ask the General Manager to investigate an issue raised during public comment and later report to the Approval Authority. The lack of a response by the Approval Authority to public comment does not necessarily constitute agreement with or support of comments made during public comment.

- *Speaker Conduct.* The Approval Authority will not tolerate disruptive conduct by individuals making public comment. Speakers who use profanity or engage in yelling, screaming, or other disruptive behavior will be directed to cease that conduct and may be asked to leave the meeting room.

Disability Access
The UASI Approval Authority will hold its meeting at the Alameda County Sheriff's Office OES located at 4985 Broder Blvd. in Dublin, CA 94568.

In compliance with the Americans with Disabilities Act, those requiring accommodations for this meeting should notify Nubia Mendoza, at least 24 hours prior to the meeting at (415) 353-5223.

Bay Area UASI Program
Approval Authority Meeting
Thursday, January 10, 2012
10:00 a.m.

LOCATION
Alameda County Sheriff's Office OES
4985 Broder Blvd., Dublin, CA 94568
OES Assembly Room

REGULAR MEETING MINUTES
DRAFT

1. Roll Call

Vice Chair Lucia called the meeting to order at 10:05 am. UASI General Manager Craig Dziedzic took roll and Vice Chair Lucia, Members Collins, Murphy, Aston, and Casten were present. Chair Kronenberg and Members Bob Doyle, Emily Harrison, Raymond Guzman, Renee Domingo, Brendan Murphy, and Carlos Bolanos were absent, but their respective alternates Amiee Alden, Dave Augustus, Ken Kehmna, Denise Schmitt, Cathey Eide, Jim Brown and Mark Wyss were present. Member Godley arrived at 10:08 am.

2. Approval of the Minutes

Motion: Approve the minutes from the December 13 Approval Authority meeting.

Moved: Member Kehmna   **Seconded:** Member Amiee
Vote: The motion passed unanimously

Vice Chair Lucia moved to item 3.

3. General Manager's Report

(a) Management Team Staff Update (Discussion Only)

Craig Dziedzic, UASI General Manager, provided a staff update regarding the Management Team Staff open positions. Lani Kent was hired as the new UASI Medical and Health Project Manager. Ms. Kent worked as a Project Manager for the Controller's Office for the City and County of San Francisco where she developed and managed a portfolio of complex projects involving health, human services and public safety agencies.

Mr. Dziedzic indicated that Mikyung Kim-Molina was appointed into a permanent civil service position as the contract specialist. Mikyung worked in a temporary position as a contract specialist, which involved overseeing, and managing the MOU process as well as ensuring that all of the contracts with the various UASI jurisdictions comply with local, state, and federal grant requirements. Mikyung has extensive experience working as program support analyst and senior administrative analyst

Mr. Dziedzic also introduced Caroline Thomas as the new East Bay Planner and she will be involved in conducting Hub meetings.

(b) UASI FY 2013 Project Proposal Update (Discussion Only)

Mr. Dziedzic gave a brief update regarding the UASI FY 2013 Project Proposals. He indicated that the Team received over 150 proposals and the Kick-Off Informational meetings were held throughout November. Mr. Dziedzic stated that the Management Team was preparing for the Hub meetings to be held in January.

Vice Chair Lucia moved to item 4.

4. Statewide Debris Removal Plan

Mary Landers, the Regional Grants Manager, provided a presentation on the Statewide Debris Removal Plan. Ms. Landers presented on the following:

- FY09 Statewide Debris Removal Project Info
- Project Scope of Work
- Deliverables
- Project Status
- Los Angeles Workshop
- Statewide Debris Workshop
- Overview of Similar Disaster Impacts
- Critical Infrastructure Impacts

The Board held discussions on the crosswalk between the Bay Area and the Los Angeles and the extent of preparedness for debris removal. The public raised a question regarding the State-wide Debris Management Workshop and the details to attend. Ms. Landers indicated that the information would be sent as soon as she received it.

Vice Chair Lucia moved to item 5.

5. Bay Area UASI Website Presentation

Elizabeth Holden, UASI Project Planner, provided a presentation and overview of the new Bay Area UASI website.

The new Bay Area UASI website (*http://www.bayareauasi.org*) officially launched on January 4, 2013 and she presented the new features that would increase accountability, communication, and transparency of federal funds and regional resources. The interface is minimalist in design with

a clean, efficient lay-out and has the structure built in for expansion should the UASI need new areas to house resources in the future.

Vice Chair Lucia moved to item 6.

6. Cyber Security Update

Matthew Tompkins presented on behalf of Donovan McKendrick regarding the Cyber Security update. He discussed the issue of Cyber Security and the different situations and encounters with Cyber Security breaches. Mr. Tompkins indicated that there are variety of Cyber Security vendors that can train and inform Approval Authority members and alternates, and local agencies to prevent and recover from breaches of security.

The Board requested more information regarding grant eligibility for the vendors and training on Cyber Security. The Board also requested that the NCRIC provide further information on the facilities that host Cyber Security training.

Vice Chair Lucia moved to item 7.

7. FY 2010 UASI Expenditure Report

Tristan Levardo, Chief Financial Officer, reported on the FY 2011 UASI grant expenditures. He stated that spending has reached two (2) percent of the total budget. He demonstrated a pie chart that depicted the overall budget with various investments. He indicated that with a total budget of $35.5M, UASI fully allocated funding into nine different projects with the highest investment ($10M) slated to go to InterOp; the second highest investment of $6M allocated to Information Sharing, and the third to CBRNE at $5.4M.

Mr. Levardo indicated that the Program Managers will begin checking with various jurisdictions for updates to ensure a timely completion.

Vice Chair Lucia moved to item 8.

8. Regional Catastrophic Preparedness Grant Program Project Updates

Janell Myhre, Regional Program Manager presented an update on the RCPGP FY 10 and FY 11. She indicated that a six-month extension request had been submitted for FY 10 and has yet to receive a response. Ms. Myhre gave an overview on the following:

- FY09 Statewide Debris Management Project
- FY10 Logistics Plan
- FY10 Community Preparedness/Public Information projects (extensions requested)
- FY10 SPUR and ABAG projects (to be completed by February 28, 2013)
- FY11 Full Scale and Tabletop Exercise with on-line training package

Ms. Myhre gave an update on the 25 percent match for RCPGP that is being sought throughout the Bay Area.

Vice Chair Lucia moved to item 9.

9. Report from the Bay Regional Interoperable Communications System Joint Powers Authority (BayRICS JPA)

Barry Fraser, interim General Manager for the BayRICS JPA, reported on the status of the BayRICS JPA for the month of December. Mr. Fraser indicated that the BayRICS board will focus on two outreach efforts on the FirstNet Board. Mr. Fraser also gave an update and overview of the following:

- FirstNet Board
- FirstNet Meeting to Review BTOP Grant Project
- BayRICS Board Meeting: January 10, 2013

The Board discussed whether there were minutes from the FirstNet Board meeting. Mr. Fraser indicated that minutes were not taken.

Vice Chair Lucia moved to item 10.

10. Tracking Tool

Vice Chair Lucia asked the Board for any comments or questions.

The Management Team discussed various items that will be presented at the February Meeting.

Vice Chair Lucia moved to item 11.

11. Announcements-Good of the Order

Vice Chair Lucia asked the Board for any questions.

Vice Chair Lucia moved to item 12.

12. Future Agenda Items

Vice Chair Lucia asked the Board for any questions. Upon hearing none, Vice Chair Lucia moved to item 13.

13. General Public Comment

Vice Chair Lucia asked for general public comment.

The meeting adjourned at 11:13 a.m.

To: **Bay Area UASI Approval Authority**

From: **Craig Dziedzic, General Manager**

Date: **February 14, 2013**

Re: **Item #3: General Manager's Report**

Recommendations:

    (a) Management Team Staff Update (Discussion Only)
    (b) Homeland Security Conference (Discussion Only)
    (c) Bay Area UASI Master MOU and Bylaws (Discussion and Possible Action)

Staff requests that the Chair appoint an ad hoc, three member voting group to review and make possible recommendations regarding the Master MOU and Bylaws. The Master MOU expires December 1, 2013. The General Manager will be a nonvoting member of the group.

Action or Discussion Items:

    (a) Management Team Staff Update (Discussion Only)
    (b) UASI FY 2013 Proposal Update (Discussion Only)
    (c) Bay Area UASI Master MOU and Bylaws (Discussion and/or Possible Action)

Discussion/Description:

    (a) Management Team Staff Update (Discussion Only)

Risk Management/Information Sharing Project Manager Position

On January 30, 2013, a three member panel consisting of Member Renee Domingo, Member Sherrie Collins, and Jim Paterson from the NCRIC (Northern California Regional Intelligence Center) interviewed four candidates for the risk management/information sharing project manager position. The General Manager is in the process of making a selection based upon the recommendation of the panel.

(b) National Homeland Security Conference (Discussion Only)

The 7th annual National Homeland Security Conference will be held June 4 - 7, 2013 in Los Angeles, CA at the Westin Bonaventure Hotel. The conference will be hosted in conjunction with the Major Cities Chief Intel Commanders Meeting and the Leaders in Counterterrorism Conference. To register and/or obtain more information, please visit the website at http://www.cvent.com/events/national-homeland-security-conference-2013/event-summary

(c) Bay Area UASI Master MOU and Bylaws

Background Information

The Bay Area UASI Master MOU contains a two year term, which expires on December 1, 2013. The General Manager and Robin Donoghue, legal counsel for the Bay Area UASI, discussed a process to review the existing verbiage of the Master MOU and Bylaws; and if needed, make possible recommendations for modifications/revisions. As a result of the conversation with the legal counsel, the General Manager requests that the Chair appoint an ad hoc, three voting member group for the limited purpose to review and make recommendations to the Approval Authority. The General Manager will be a nonvoting member of the group.

To: Bay Area UASI Approval Authority

From: Mike Sena, NCRIC Director, Advisory Group Chairperson

Date: February 14, 2012

Re: Item #4: Advisory Group Report

Recommendations:

The UASI Advisory Group recommends approval of a regional non-core city and non-sustainment project proposal submitted by Contra Costa County to fund a regional public health exercise planning project.

Action or Discussion Items:

On January 24th, 2013, The UASI Advisory Group received an overview of the Bay Area UASI Regional Training Program and Exercise Annual Report presented by Commander Dennis Houghtelling. The presentation highlighted the UASI's outstanding efforts to support multi-disciplinary terrorism and major threat response training and exercises.

The UASI Advisory Group also reviewed several proposals that had been submitted to the UASI Management Team as regional projects. The Advisory Group developed a recommendation to fund only one of the projects, a regional public health exercise planning project, submitted by Contra Costa County.

The Advisory Group is planning to have a full review of all HUB projects on February 28th at 10:00 AM to provide recommendations for the Approval Authority's March meeting.

The Advisory Group will also continue to develop a proposal for the allocation of HUB funding based on potential reductions or additions to UASI funding at the upcoming advisory group meetings.

To: **Bay Area UASI Approval Authority**

From: **Anne Kronenberg, Chair**

Date: **February 14, 2013**

Re: **Item #5: Travel Report on Israeli Resilience Delegation**

Recommendations:

Receive and file report.

Action or Discussion Items:

For discussion only.

Discussion/Description:

The National Preparedness Leadership Initiative, from Harvard University brought a delegation of officials from the United States to visit their peers in Israel in January 2013. The delegation addressed issues related to mass casualty events and population resilience. It was hosted and organized by the Emergency and Disaster Management Division of the Israel Ministry of Health.

The best practices and lessons learned from the trip have the potential of helping to inform policy and practice in the United States. Participants in the delegation came from the American Red Cross, the CDC, FEMA, the National Counterterrorism Center, the Nuclear Regulatory Commission, the Office of Personnel Management, San Francisco Department of Emergency Management, the US Army Northern Command and Harvard School of Public Health.

The delegation met with the Ministry of Health, the Homeland Defense Ministry, Community Resilience Centers, the National Trauma Registry and Magen David Adom among others. Insights were shared from Israel's missions to Haiti and Fukushima, Japan as well as recent conflicts. Practice highlights included a toxicological event drill at Assaf Harofeh Hospital, a field medical trading exercise with the Home Front Command and the Israel Trauma Competition.

The delegation had a unique opportunity to engage in frank discussions and learn the challenges of leading a population under threat. The delegation built long-term relationships among the delegates and with our peers in Israel.

Lessons Learned:

- Resiliency is strategic point for Israelis – it is central to culture.
- Education starts in grade school. Providing tools and education empowers youth so they are not afraid.
- Use youth to build resilience in the society.
- Pay attention to principles, not protocols at the scene of a mass causality event.
- Very important to have a plan in place to distribute patients between hospitals in a mass casualty event – with severe patients directed to trauma centers.
- Direct minor injuries to different areas – NOT hospitals.
- Successful triage is very important in patient distribution.
- Analysis of population demographics and resources key to response.
- Patient tracking key element – take photos of all patients. Share on common hospital system, social workers can help families reconnect with injured and wounded.
- Israel is able to respond quickly, because it is not afraid of legal complications after event. They keep it simple.
- When an attack occurs, the goal is to "normalize" and clean up site within 4 hours so no evidence of attack remains.
- Need to make sure shelters are equipped to take care of the physical and emotional state of the survivors.
- Israel does not want to make public 'alternate standards of care' prior to a response, because these are event specific and government officials are not prepared to state that certain casualties (based on severity) would have a lesser priority for resource allocation.
- In the Bay Area, we are doing a lot of things right – our preparedness campaigns are starting to make inroads, we are organizing at the community level. Our political leaders support disaster response activities. We involve private business, nonprofit and faith based communities in preparedness and response. Have a strong financial system in place for tracking disasters. Embrace a philosophy that we want our residents to stay in Bay Area after an event – not leave because they no longer feel safe. We embrace community response and resilience.

Action Items/Follow Up:

- Reach out to school district on enhanced disaster response curriculum. Tie this to key SF events, eg: Loma Prieta, and 1906 Earthquake anniversaries.
- Pull together focus group to explore other ways we can get youth more involved.
- Revisit patient tracking. Perhaps ARC could set up a system that all hospitals would participate in? Big challenges in this area – but the benefits are huge.
- Review triage protocols and plans.
- Explore avenues to begin to pull together population demographics and resources by community.

To: **Bay Area UASI Approval Authority**

From: **Renee Domingo, Chair of Legislative Ad Hoc Committee**

Date: **February 14, 2013**

RE: **Item #6: UASI Trip to Washington, DC – January 14-15, 2013**

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The Bay Area UASI Ad Hoc Legislative Committee traveled to Washington, DC on January 14 and 15, 2013 to meet with the Bay Area congressional delegation and officials at the Federal Emergency Management Agency (FEMA). The purpose of the trip was to share the findings of the UASI's recently completed Grant Effectiveness Report, which evaluates UASI investments and demonstrates the need for ongoing funding to the Bay Area.

Trip Participants
The UASI delegation included: Chair Anne Kronenberg, member Renee Domingo, member Chris Godley, General Manager Craig Dziedzic, NCRIC Director Mike Sena, and UASI alternate Amiee Alden.

Congressional Meetings
Staff members for the Bay Area congressional delegation appreciated receiving documentation of the UASI's achievements, and expressed support for ongoing funding of the UASI.

Staff for the House and Senate Appropriations Subcommittees on Homeland Security shared several key points:

- They could not say when the FY 2013 (current year) budget would be finally passed by Congress, which is necessary before FEMA can allocate the 2013 UASI grant. It is possible that Congress may pass a full-year Continuing Resolution, which would continue the FY 2012 funding levels, rather than pass a new Omnibus Appropriations bill for FY 2013.
- Budget language for FY 2013 may include guidance from Congress on the National Preparedness Grant Program.

- FEMA needs to provide Congress with better metrics for what is accomplished with homeland security grants, or else risk further reductions in funding.

Staff for the House Homeland Security Committee (the authorizing committee) shared several points:

- The majority (Republican) staff is focused on sustainment of current capabilities. They are interested to know what is the base amount of funding that jurisdictions need to maintain those existing capabilities.
- They also want a clearer picture of what capabilities have been attained to date with homeland security grants.

Meeting with FEMA

UASI members summarized the good job that the Bay Area has done with spending and documenting achievement with federal grant dollars from the Urban Area Security Initiative (UASI) program. We also protested the 38% cut to the Bay Area's UASI grant in 2012, despite the fact that the Department of Homeland Security (DHS) that year elevated the Bay Area's relative risk score from 5th in the nation to 4th.

The major take-away from the meeting with FEMA was that they cut the Bay Area's UASI grant last year because DHS had designated the Bay Area as a "Level 2" for Threat (we are at the top of the list for Level 2). FEMA made the smallest cuts to the 4 cities designated as "Level 1" for Threat – NYC, L.A., DC, and Chicago – and make larger cuts to everyone else. The key questions that FEMA could not answer were:

1. What is the difference between a Level 1 Threat area and a Level 2?

2. Why was the Bay Area designated as a Level 2 for Threat instead of Level 1? What was the arbitrary threshold that we did not meet?

3. Why did FEMA choose to emphasize Threat over any other consideration, such as economic consequence, when deciding how to allocate UASI funds? Threat is only supposed to account for 30% of their allocation formula. Vulnerability is worth 20% and Consequence is 50%.

4. Why can't FEMA and DHS make this information about how they allocate public funds more transparent to both the grantees and to Congress? When local governments can't understand how FEMA allocates grant funds, we are not able to effectively advocate for any
changes. When Congress doesn't understand it, they are more inclined to cut the funding

for that grant in favor of programs that can better explain how they allocate and spend their funds.

Other key points from made by FEMA officials included:

- They do not anticipate any funding increases in 2013, and hope Congress keeps it the same as in 2012. They cannot guarantee that there will not be cuts in 2013.
- The National Preparedness Grant Program (NPGP) is still a part of the President's budget, although Congress has not yet acted on the budget.
- NPGP is still under development, and may not be released until 2014.
- FEMA has reduced the UASI grant performance period from 3 years to 2 years in order to emphasize sustainment of existing capabilities instead of development of new ones, given the reduction in grant funding.

Attachments

- Trip Schedule
- Grant Effectiveness Report Summary
- Regional Catastrophic Preparedness Grant Summary

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BAY AREA UASI
METING SCHEDULE IN WASHINGTON, DC

SUNDAY, JANUARY 13, 2013

7:00 PM   **Dinner**
Occidental Grill, Willard Intercontinental Hotel, 1401 Pennsylvania Avenue NW
Phone: (202) 783-1475

MONDAY, JANUARY 14, 2013

8:30 AM   **Breakfast with San Francisco's Washington, DC representative Eve O'Toole**
Longworth House Office Building Cafeteria

9:30 AM   **Meeting with Robert Edmonson, Homeland Security/Appropriations Staff**
Office of Democratic Leader Nancy Pelosi
235 Cannon House Office Building
Phone: (202) 225-0100

10:15 AM   **Meeting with Kris Mallard, Majority Staff**
and Stephanie Gupta, Minority Staff
House Homeland Security Appropriations Subcommittee
B-307 Rayburn House Office Building
Phone: (202) 225-2771

11:00 AM   **Meeting with Carla Cotwright, Homeland Security Staff**
Office of Congresswoman Anna Eshoo
205 Cannon House Office Building
Phone: (202) 225-8104

12:00 PM   **Meeting with Drenan Dudley and Chip Walgren, Majority Staff**
Senate Homeland Security Appropriations Subcommittee
116 Dirksen Senate Office Building
Phone: (202) 224-7363

1:00 PM   **Meeting with Teddy Miller, Homeland Security Appropriations Staff**
Office of Congresswoman Barbara Lee
2267 Rayburn House Office Building
Phone: (202) 225-2661

1:45 PM   **Meeting with Melody Reis, Homeland Security Appropriations Staff**
                 Office of Congresswoman Zoe Lofgren
                 1401 Longworth House Office Building
                 Phone: (202) 225-3072

2:30 PM   **Meeting with Lanier Avant, Minority Staff Director**
                 House Homeland Security Committee
                 H2-117 Ford House Office Building
                 Phone: (202) 226-2616

3:15 PM   **Late Lunch Break – Café near Ford House Office Building**

4:00 PM   **Meeting with AJ Bhadelia and Adam Kuranishi, Homeland Security Staff**
                 Office of Congressman Mike Honda
                 1713 Longworth House Office Building
                 Phone: (202) 225-3072

8:15 PM   **Dinner**
                 Restaurant Nora, 2132 Florida Avenue NW @ R Street
                 Phone: (202) 462-5143

TUESDAY, JANUARY 15, 2013

9:30 AM   **Tour of American Red Cross Digital Operations Center**
                 2025 E Street, N.W.
                 Contact: Julie Pardini: (202) 303-4448 (O); 202-674-6346 (C)

11:00 AM   **Meeting with FEMA Officials - (<u>Arrive at 10:45 for security check-in</u>)**
                 500 C Street, S.W.
                 Contact: Kyle Combs, FEMA Intergov. Affairs: (202) 735-7678

- Rich Serino, Deputy Administrator, FEMA *(will attend first portion of mtg)*
- Brian Willis, Deputy Director, Preparedness Grants Division, FEMA
- Frank Lepage, Western Branch Chief, Homeland Security Grant Programs, Preparedness Grants Division, FEMA
- Sara Bryant, Supervisory Program Specialist, Program Development Branch, Preparedness Grants Division, FEMA
- (Tentative) Nick Peak, National Preparedness Directorate, FEMA
- Gwen Camp, Director of Intergovernmental Affairs, FEMA
- Brad Johnson, Director of Local Affairs, DHS Intergovernmental Affairs

12:00 PM   **Tour of FEMA National Response Coordination Center**
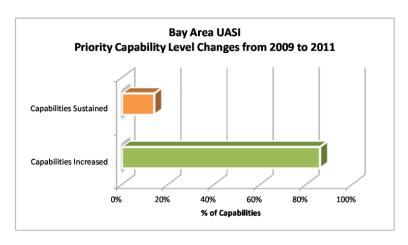   Immediately following the 11:00 am meeting at FEMA

Bay Area UASI Grant Effectiveness Report
November 2012

The 2012 Grant Effectiveness Report focuses on the expenditure of approximately $52 million in UASI funds from grant years FY 2007 through FY 2010. The funds were spent between 2009 and 2011.
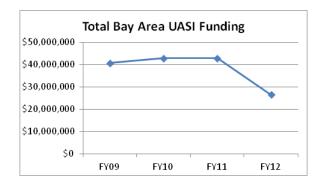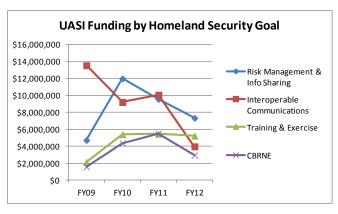
Key Findings

1. **Pursuant to national security priorities, the Bay Area successfully invests UASI funds in documented high-risk areas**. The region objectively identifies capability gaps using sophisticated risk analysis software from Digital Sandbox. Approximately 86% ($45 million) of total funding has been invested in 22 priority target capabilities to address those gaps. Nineteen priority capabilities increased, while three capabilities related to intelligence were sustained.

2. **All regional emergency response capabilities have improved**. UASI grants have funded equipment, training, and exercises that have improved regional capabilities. For example:

   - **San Francisco:** The Department of Emergency Management employs training and exercise planners who coordinated multiple inter-agency exercises for Fleet Week and the America's Cup, which significantly improved regional capacity to partner with the military and multiple jurisdictions to manage large events.

   - **San Jose:** The Police Department purchased robots that enabled the bomb squad to safely dispose of explosives remotely, instead of using personnel in bomb suits.

   - **East Bay:** The East Bay Radio Communications System improved public safety radio interoperability from 40% to 100% in Alameda and Contra Costa counties, both day-to-day and during a regional emergency. Oakland also developed a Type II HazMat team by purchasing testing and response equipment for a hazardous spill or terrorism incident.

   - **Region:** Several agencies purchased Ballistic Engineered Armored Response Counter Attack Trucks (BEARCATs) and funded enhanced training on Incident Command, which has improved the ability of SWAT teams to assess and respond to terrorist incidents.

3. **Dual-use capabilities have expanded**. The Bay Area has developed capabilities to address both the terrorism threats and natural hazards that pose the greatest risk to the region. For example, UASI-funded upgrades to communications equipment support the daily efforts of first responders, as well as regional response to major emergencies such as bombings, earthquakes, or wildfires.

4. **Interoperable communications have expanded.** The region as achieved the National Emergency Communications Plan Goal 1 for interoperability thanks to significant UASI funding for radio infrastructure and equipment – namely digital microwave infrastructure and P25 radios. The Bay Area can achieve response-level emergency communications involving multiple jurisdictions and agencies within one hour for routine events. The Bay Area has directed the largest share (32%) of its UASI funds to communications.

5. **The Northern California Regional Intelligence Center (NCRIC) provides more FBI leads than any other source for follow up by the Joint Terrorism Task Force in the Northern District of California.** From 2009 through 2011, the NCRIC reported 381 Suspicious Activity Reports, produced Major Vulnerability Reports for 54 sites, trained 4,319 Terrorism Liaison Officers, and provided law enforcement training to over 16,000 students. In 2011, the NCRIC generated an average return on investment of $991 in drugs and assets seized for every dollar invested. In 2012, the Director of National Intelligence recognized the NCRIC as a national model for fusion centers.

6. **The Bay Area UASI program tests capabilities on annual basis through a full-scale preparedness exercise**. Urban Shield is a real-time, multi-day exercise involving dozens of local, state and federal agencies and thousands of first responders. This is now the largest such exercise in the nation and the model for many similar events across the country.

7. **UASI funds leverage local and regional investments.** Local governments have stepped up to invest in UASI-funded projects, such as the East Bay Regional Communications System, while UASI grant dollars supplement other regional grants to produce major regional projects like Urban Shield.

8. **Funding cuts threaten critical capabilities.** Although the Department of Homeland Security in 2012 increased the Bay Area's relative risk score from 5th in the nation to 4th, the region's UASI grant was cut by 39% (from $43 to $26 million) that year. Specific projects that were not funded include:

- **Interoperable communications equipment for San Mateo, Santa Clara, Santa Cruz, San Benito, and Marin counties:** Repeaters, base stations, power plant, network interface and control equipment, and dispatch consoles to implement the regional P25 700MHz radio system.

- **CBRNE:** Rescue equipment for the Richmond Fire Department, a fire boat for the Alameda County Fire Department, an armored vehicle for Contra Costa County Sheriff, and others.

- **Shelter equipment and training for San Francisco and Santa Clara County:** A Shelter Worker/Manager training course and tabletop exercise for Disaster Service Workers, Neighborhood Emergency Response Teams (NERT), and Red Cross sheltering partners, to prepare for housing evacuees, as well as specialized equipment necessary to shelter 200 individuals that require assistance due to medical, mobility, cognitive or communications barriers.

Total Bay Area UASI Funding

UASI Funding by Homeland Security Goal

Regional Catastrophic Planning Grant Program

January 2013

Key Points

- The Regional Catastrophic Planning Grant Program (RCPGP) provided an important whole community approach to all-hazards planning for the entire Bay Area region.
- RCPGP investments need to be sustained and funding continued to address future gaps and further exercise plans.
- The Bay Area is urgently seeking a small, partial waiver to the 25% RCPGP match request for FY 2010 and FY 2011.

Background of RCPGP

FEMA's Regional Catastrophic Planning Grant Program (RCPGP) provided targeted funding to advance planning for catastrophic events. The grant focused on the ten highest risk urban areas and surrounding regions where the most significant impact to our Nation's security and community resiliency would be effectively addressed. Regional catastrophic planning addresses Post-Katrina Emergency Management Reform Act mandates and reinforces initiatives under way with the implementation of Presidential Policy Directive 8.

Bay Area RCPGP Investments

The Bay Area received RCPGP funding for five years, for a total of $15.9 million from FY07 – FY11. With this funding, the Bay Area developed annexes to its Regional Emergency Coordination Plan addressing debris removal, mass care and sheltering, mass fatality, mass transportation and evacuation, volunteer management, donation management, and logistics. These plans are scalable to the size and scope of any disaster. Key accomplishments include:

- Plans to restore critical services such as power, water, fuel, and food.
- Generational family preparedness efforts through schools and outreach to underserved populations.
- Agreements with private corporations such as Pacific Gas and Electric (PG&E), transportation organizations, American Red Cross, animal humane societies, and other nonprofit organizations to collaborate and deliver services.
- First statewide debris removal meeting between the Bay Area and Los Angeles to address staging areas; coordinating command and control decisions; and deployment of contracted, state, and federal resources.

Sustainment of Funding

FY11 was the last year of RCPGP funding. However, Superstorm Sandy illustrates the need for continued investment in regional preparedness, response, training, equipment, and recovery planning. Despite the significant impact of RCPGP funding to date, we are not sufficiently prepared for a catastrophic disaster. The Bay Area region needs to develop more partnerships with businesses and non-profits, ensure needs for fuel in a disaster are met, conduct further outreach to underserved populations, and continue to exercise regional deployment of equipment and resources. More validation is particularly needed to close the gap between catastrophic planning legal mandates and the manpower available to implement them in California. Considerable effort is also required to build the capacity of local staff to utilize and implement regional catastrophic plans.

Match Requirements

The Bay Area is urgently seeking a partial waiver to the RCPGP match requirement for FY 2010 and FY 2011, but has not been successful thus far.

The Bay Area UASI has met 75% ($4 million) of its match requirements to date. Match requirements for FY07/08 (combined fiscal years) and FY09 were satisfied. However, $919,000 of match requirement is still outstanding from FY10 and FY11:

- **FY 2010:** The Bay Area's projected shortfall is $492,000. The grant award was $3.6 million, and the required match of $1.2 million is due April 30, 2013.

- **FY 2011:** The Bay Area's projected shortfall is $427,000. The grant award was $1.3 million, and the required match of $427,000 is due March 31, 2014.

In June 2012, the Bay Area UASI submitted a waiver request to Cal EMA. However, Cal EMA has not submitted the Bay Area's request to the FEMA Region IX Administrator. Cal EMA verbally informed the Bay Area region that the request would be denied because FEMA Region IX is not granting any RCPGP match waivers. Seven of the ten RCPGP sites have received full or partial waivers (Boston, Chicago, Hampton Roads, Houston, National Capital Region, New York/New Jersey/Connecticut/Pennsylvania, and Puget Sound). We believe that only FEMA region IX sites have not received any written denial or approval in response to their requests.

Impact on San Francisco General Fund

The Bay Area will continue to prioritize meeting match requirements. However, we have already tapped into all key areas of potential match opportunity. Eligible staff that can be applied to match requirements – that is, General Fund-supported staff not already linked with another required state or federal grant match – has considerably decreased in recent years. If we don't receive a waiver, the City and County of San Francisco, as the responsible fiscal agent, will be required to return funding from their General Fund (up to $1.3 million) to FEMA, threatening critical services provided to San Franciscans and the partnership of the Bay Area UASI region.

To: **Bay Area UASI Approval Authority**

From: **Dennis Houghtelling, Commander**
UASI Regional Training & Exercise Project Manager

Date: **February 14, 2013**

Re: **Item #7: Regional Training & Exercise Program Annual Report**

Recommendations:

It is recommended that the Approval Authority review and comment on the Bay Area UASI Regional Training and Exercise Annual Report.

Action or Discussion Items:

Review and discussion of the Bay Area UASI Regional Training and Exercise Annual Report.

Background:

In July 2011, the Bay Area UASI Regional Training and Exercise Program was funded by the Bay Area UASI. The regional program was developed and is managed by the Alameda County Sheriff's Office. As part of managing the Regional Training and Exercise Program, the Alameda County Sheriff's Office was tasked with producing an Annual Report. The attached Annual Report covers the training and exercise progress made primarily with FY2010 funding.

Discussion/Description:

Summary of Key Achievements

- Established a Regional Training and Exercise Team
- Developed an Interim Training Plan
- Established Office Space for the Team
- Established a Regional Training and Exercise Website with Registration/Statistic component
- Established a 15 vendor Indefinite Quantity/Indefinite Delivery 3 year contract
- Developed a Guidance Manual for the Program
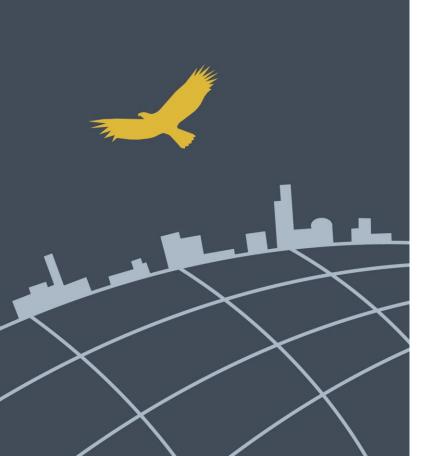- Developed a Multi-Year Training and Exercise Training Plan

- Process for Course Approval
- Provided Training to the Region (Balanced delivery of training to multiple disciplines)
- Customer Satisfaction (Evaluation Process)
- Recognized by POST as a Law Enforcement Training Provider
- Managed the Budget ($1.7 million Training, $1.7 million Exercise, $1.6 million Personnel, $100K M&A plus $686K for RCPGP Training
- Budget adjusted to provide additional training using salary savings
- Urban Shield 2011 and 2012
- Annual Report

The Bay Area UASI Multi-Year Regional Training and Exercise Plan is attached for review.

BAY AREA UASI

Bay Area UASI
Approval Authority
Meeting

February 14, 2013

BAY AREA UASI

Regional Training and Exercise

Annual Report

February 14, 2013

Overview

UASI Training & Exercise Program Overview

- Alameda County executed an MOU with the Bay Area UASI to provide a sustainable regional training and exercise program for the Region serving multi-disciplines including:

  - Law Enforcement
  - Fire
  - EMS
  - Public Health
  - Emergency Management

Key Achievements

- Established a Regional Training and Exercise Team

The positions and personnel assigned to the Regional Training & Exercise Program are as follows:

Project Manager:
Dennis Houghtelling, Alameda County Sheriff's Office

Planning & Research Coordinator:
Matthew Fellows, Alameda County Sheriff's Office

Lead Training Assistant (.5 FTE):
Robert Schumacher, Alameda County Sheriff's Office

Training Assistant:
Josie Chou, Alameda County Sheriff's Office

Lead Training & Exercise Planner (Law):
Larry Plummer, Alameda County Sheriff's Office

Training/Exercise Planner:
Currently vacant (in process of filling position)

Training/Exercise Planner (.5 FTE):
Kelly Seitz, retired, County of Santa Clara Fire Department

Training/Exercise Planner (.5 FTE):
Julie Linney, County of Santa Clara Fire Department

Training/Exercise Planner (.5 FTE):
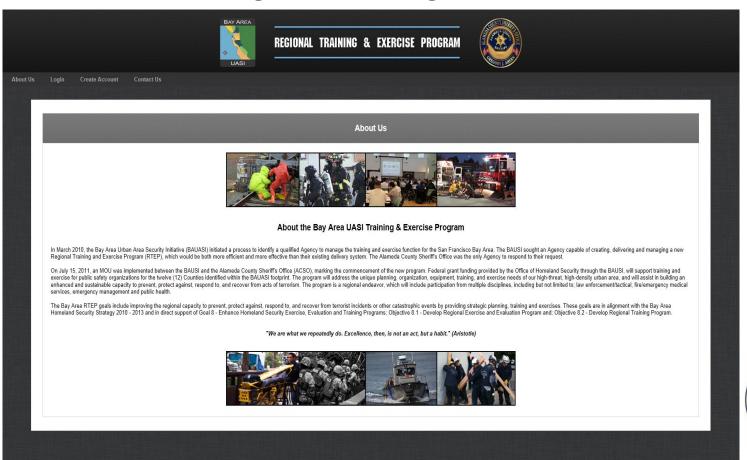Brian Ballard (Retired Captain), Alameda County Sheriff's Office

Key Achievements

- Office Established-ACSO Regional Training Center

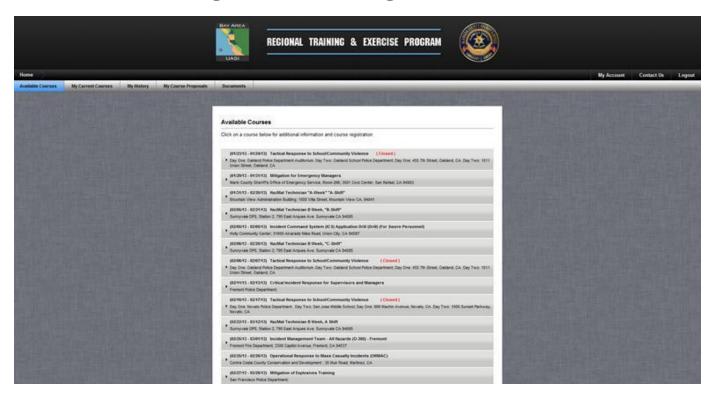 6289 Madigan Road, Dublin CA - Classroom G

Key Achievements

- Developed a Regional Interim Training Plan
  - Started Providing Training Immediately using 2010 course list of vetted courses (35 courses)
- Conducted Additional Vetting Sessions
  - June 2011 (40 courses vetted and approved)
  - October 2011 (32 courses vetted and approved)
  - February 2012 (79 proposals vetted and 60 approved)

- Changed Vetting Schedule to Bi-Annual
- Emergency Training Request Accepted on a Monthly Basis
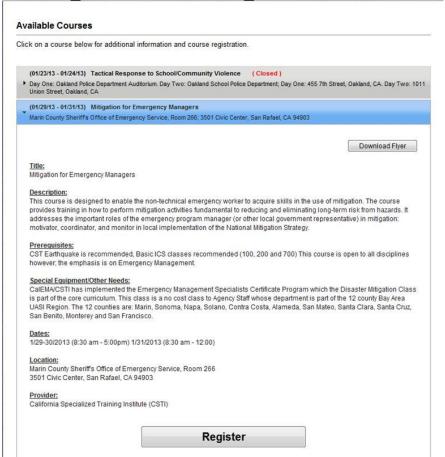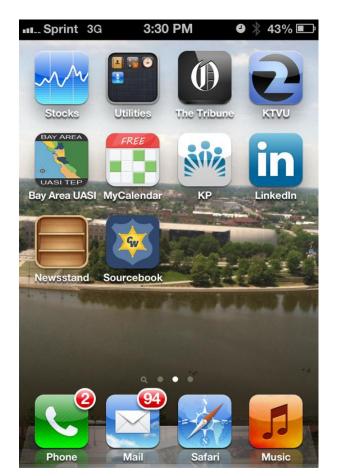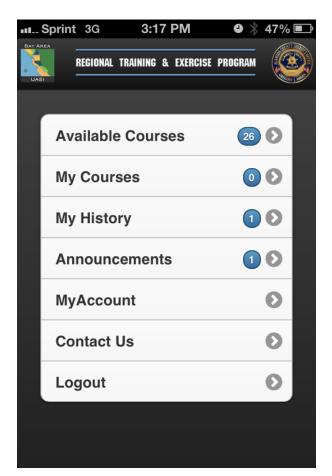- All Proposals Initially Submitted by Email

Key Achievements

- Established the Regional Training and Exercise Website

Key Achievements

- Established the Regional Training and Exercise Website

Key Achievements

- Established the Regional Training and Exercise Website

Key Achievements

- Established the Regional Training and Exercise Website

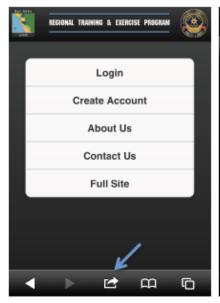# Key Achievements

- Established a Mobile Platform for the Website

Key Achievements

- Instructions to Install the Mobile Platform on your Smart Phone

When you access the website from a mobile device, you will be redirected to the mobile version of the website. The mobile site functions the same as the regular site, except you will be unable to submit course proposals.

For Apple mobile devices

If you wish to easily access the mobile site from your apple mobile device, allowing the site to act more as a native application, use the web browser to navigate to the mobile website. When the page has loaded, select the button that contains a box with an arrow. Once the options are visible, click the "Add to Home Screen" button. A shortcut to the website will now appear on your home screen.

Key Achievements

- Contracted with 15 Vendors for Training Services

1. Alpha Trac, Inc.
2. Citygate Associates LLC
3. Cytel Group LLC
4. Fulcrum Concepts LLC
5. Incident Management Training Consortium,
6. Northern California Rescue Training (NCRT)
7. Plan A Solutions, Inc.
8. Public Safety Training Institute
9. RDX, Inc.
10. RW Jones and Associates Emergency Response Training
11. Sabre Group LLC
12. Science Applications International Corp. (SAIC)
13. Security Solutions International (SSI)
14. Tetra Tech EM, Inc.
15. Wildan Homeland Solutions

Key Achievements

- Developed a Guidance Manual

Key Achievements

- ## Process for Course Approval

  - Submit a proposal via the T&E Website
  - Present the proposal to the Training and Exercise Workgroup
  - If the training is vetted and approved contact a Planner from the Training and Exercise Team
  - Identify a location for the course
  - Schedule a date for the training
  - Obtain a quote from the vendor
  - Obtain a Tracking Number from CalEMA
  - Submit an EHP to CalEMA
  - Planner updates proposal and places documents on the Website
  - Obtain a Purchase Order
  - Conduct safety briefings if applicable
  - Monitor courses randomly
  - Issue certificates of completion
  - Vendors submit invoice to T&E
  - Invoice approved
  - All paperwork submitted to ACSO including course evaluations
  - Claims for Payment filed by ACSO

Key Achievements

- Developed a Regional Multi-Year Training and Exercise Plan

Key Achievements

- Provided Training to the Region

    - 156 Training Courses Provided

    - 3758 Students Trained

    - 38 Training Courses (RCPGP)

    - Over 500 Students Trained (RCPGP)

    - Almost 4,000 Registered Users on the Website
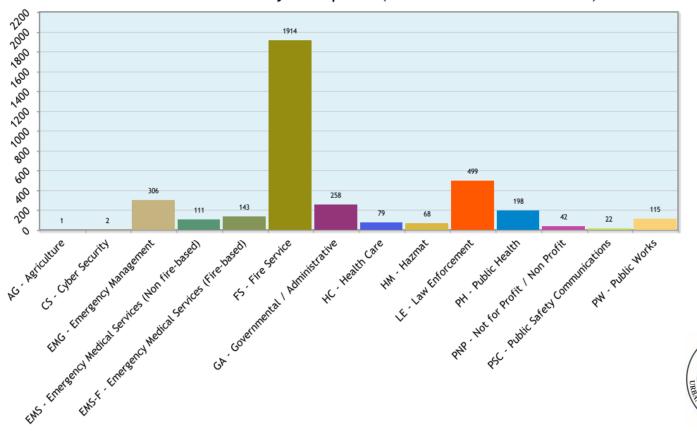
Key Achievements

- Customer Satisfaction Monitored through Evaluation Process

  - Planners review evaluations from each of their courses

  - Overall the evaluations have been very good

  - Discrepancies addressed on a case by case basis

  - Only two issues identified and addressed

Key Achievements

3758 Trained

Students Trained by Discipline (07/15/2011-12/31/2012)

Key Achievements

Students Trained by County

Students Trained by County (07/15/2011-12/31/2012)

Key Achievements

- Budget

Regional Training & Exercise Original Budget

Key Achievements

- Budget

Regional Training & Exercise Adjusted Budget

Personnel, $1,442,556.00

M&A, $100,000.00

Exercsies, $1,700,000.00

Other, $686,286.00

RCPGP T&E, $653,606.00

RCPGP M&A, $32,680.00

Training, $1,949,999.00

Key Achievements

- Full Scale Exercises

Urban Shield 2011
- o 48 hour continuous exercise
- o 38 exercise scenarios
- o Law, Fire, EMS, HazMat, USAR, EOD
- o 3,349 Trained

Urban Shield 2012
- o 48 hour continuous exercise
- o 47 exercise scenarios
- o Law, Fire, EMS, HazMat, USAR, EOD
- o 4,100 Trained

Challenges

- **Challenges**

  o Balancing Training for the Disciplines

  o Approval Process for Law Courses (POST)

  o Salary Savings ($250K reallocated to training)

  o Student Attendance (Last minute cancellations)

Thank You

Bay Area Urban Areas Security Initiative
www.bayareauasi.org

Regional Training & Exercise Program
www.bauasitep.org

BAY AREA UASI

To: **Bay Area UASI Approval Authority**

From: **Janell Myhre, Regional Program Manager**

Date: **February 14, 2013**

Re: **Item #8A: Regional Catastrophic Preparedness Grant Program (RCPGP) Update**

Recommendations:
RCPGP Update: Discussion Only

Action or Discussion Items:
Discussion Only

Discussion/Description:
RCPGP FY10 and FY11 deliverables are in progress or met. Paperwork confirming extension to 4/30/13 for RCPGP FY10 is expected within the next 30 days. Regional project status includes:

- RCPGP FY09 Statewide Debris Management Project: Two City of Los Angeles and one statewide workshop(s) have been completed. Members from Bay Area Local Government were involved in all workshops, which were well attended and received positive feedback. Beta testing for electronic Just in Time (JIT) training is occurring this month throughout the Bay Area and will be completed by February 15th, 2013.
- RCPGP FY10 Logistics Plan: Outreach for plan comments are in progress from URS Corporation and UASI. Validation Workshops were conducted February 6th and 7th. Funded URS Corp. Local Planners are currently embedded into the Core City OES offices to enhance and complete Core City Logistics Plan writing and validation.
- RCPGP FY10 Community Preparedness/Public Information projects: (extension requested)
    - Get Ready 5 (City of San Rafael): Completed.
    - Building Community Resiliency (City of Oakland): Outreach efforts to underserved communities are being continued through community events, leadership and partnerships. Community training, project website and other sustainable project elements are in development or in place for introduction to the community.
    - Regional Joint Information Center/System (JIC/JIS): Vendor contracting process in progress. Estimated start date March 2013. Project deliverables include regional JIC/JIS gap analysis, improvement plan and training/exercise components. Opportunity to use JIC/JIS product in Golden Guardian and Urban Shield 2013 is being evaluated.
- RCPGP FY10 SPUR and ABAG projects: Completed by February 28, 2013. Report to Approval Authority planned for March 2013.
- RCPGP FY11 Full Scale and Tabletop Exercise with on-line training package: Vendor negotiations in progress. Coordination with Urban Shield 2013 Design Team underway.

RCPGP 25% match being sought throughout Bay Area.
- Please refer to Agenda Item 10B for further detail.

To: **Bay Area UASI Approval Authority**

From: **Tristan Levardo, CFO**

Date: **February 14, 2013**

Re: **Item 8B: RCPGP Quarterly Fiscal Report**

Action or Discussion Item:
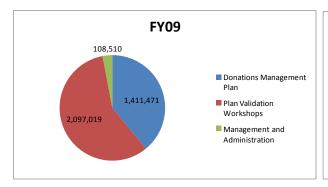
Discussion

Background

The Regional Catastrophic Preparedness Grant Program (RCPGP) is a FEMA sponsored grant program that provides funding to ten designated sites within the United States. The Bay Area Site, through their regional Urban Area Security Initiative (UASI) governance structure, manages the RCPGP. The emphasis of this grant is on regional planning across jurisdictional lines, in an effort to better prepare for, mitigate, respond to, and recover from all types of catastrophic events. The RCPGP has provided an important all-hazards planning complement to the terrorism preparedness focus provided by the UASI program.
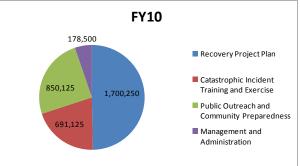
Financial Information:

Funding Year	Grant Expiration	Budget	Spent	Obligated	Match Budget	Actual Match
FY09	1/31/13	3,617,000	3,457,922	159,078	1,205,667	1,205,667
FY10	6/30/13	3,570,000	1,299,966	2,270,034	1,190,000	649,729
FY11	3/28/14	1,281,976	30,160	1,251,816	427,325	-

Funding Year	Comments
FY09	Obligated funds from the URS debris management contract will be liquidated for the final reporting. Match has been met primarily from local charges incurred by WETA.
FY10	CalEMA has given a verbal approval of the extension of grant end date. Leftover MOU funds from San Rafael will support additional trainings to be conducted by Alameda.
FY11	RFP for the training and exercise has been completed. A contract is being awarded to URS as the successful bidder.

RCPGP funding by investment areas is shown in the charts below

FY09

- Donations Management Plan
- Plan Validation Workshops
- Management and Administration

108,510
1,411,471
2,097,019

FY10

- Recovery Project Plan
- Catastrophic Incident Training and Exercise
- Public Outreach and Community Preparedness
- Management and Administration

178,500
1,700,250
691,125
850,125

FY11

- Training and Exercise
- Management and Administration

64,099
1,217,877

Action Requested of the UASI Approval Authority:

Information only

To: **Bay Area UASI Approval Authority**

From: **Tristan Levardo, CFO**

Date: **February 14, 2013**

Re: **Item #9: Travel expenses paid during the period October to December 2012**

Action or Discussion Item:
Discussion

Travel Expenses

The table below summarizes all travel expenses incurred by the Management Team and representatives from our jurisdictions for the period of October 1 through December 31, 2012.

Employee, Jurisdiction	Destination	Travel Dates	Total Charges	Funding Source	Purpose
Jun Chen, Management	Minneapolis, MN	8/6/12	1,106.58	FY10 UASI	APCO Conference
Janell Myhre, Management	Mather, CA	9/9-11/12	368.99	FY10 UASI	THIRA Workshop
Janell Myhre, Management	Seattle, WA	9/17-20/12	1,162.13	FY10 RCPG	RCPGP National Conference
Denise Schmitt, SFPD	Orlando, FL	11/4-7/12	1,557.60	FY10 UASI	Homeland Security Professionals Conference
Peter Shields, SFPD	Orlando, FL	11/4-7/12	1,393.60	FY10 UASI	Homeland Security Professionals Conference
Darin White, Oakland	Columbus, OH	5/20-25/12	1,799.00	FY10 UASI	UASI National Conference

Employee, Jurisdiction	Destination	Travel Dates	Total Charges	Funding Source	Purpose
Dennis Houghtelling, Alameda	Columbus, OH	5/20-25/12	2,173.55	FY10 UASI	UASI National Conference
Richard Lucia, Alameda	Columbus, OH	5/20-25/12	2,468.13	FY10 UASI	UASI National Conference
Brett Keteles, Alameda	Columbus, OH	5/20-25/12	2,388.42	FY10 UASI	UASI National Conference
	Total		$ 14,418.00		

Action Requested of the UASI Approval Authority:

Information Only

To: **Bay Area UASI Approval Authority**

From: **Barry Fraser, Interim General Manager**

Date: **February 14, 2013**

Re: **Item #10: Report from the Bay Area Regional Interoperable Communications System Joint Powers Authority (BayRICS Authority)**

Recommendations:

Receive and File Report

Action or Discussion Items:

Report from the Interim General Manager of the BayRICS Authority on the activities and progress of the BayRICS Authority for the month of January 2012.

Discussion/Description:

Staff activities in January are summarized below.

1. Sites and Backhaul Status

Jurisdictions are continuing to qualify sites through the zoning approval process, where required. Currently, Sonoma County and Contra Costa County sites are generally in good shape, and City and County of San Francisco sites are expected to be finalized in early 2013. Other jurisdictions are in differing stages of the process. Staff has reengaged with BART to finalize the BART fiber and site use agreement, with meetings proposed for February.

2. Regional and State Planning for FirstNet

The State of California is working with Cal EMA to schedule public forums with stakeholders to discuss the Nationwide Public Safety Broadband Network, FirstNet and organizing California's governance structure to support network development in the state. These meetings will be set for later in the year.

BayRICS has been informed that the FirstNet Board is currently evaluating all BTOP projects and reviewing the information collected at each of these in-person meetings. BayRICS anticipates receiving additional instructions from FirstNet regarding the future of the BayWEB

project with the next two weeks. The presentation from the December 18, 2012 meeting between BayRICS and FirstNet is available on the BayRICS web site at: http://www.bayrics.net/uploads/1/2/4/6/12466172/firstnet_presentation_v5_final.pdf.

3. Financial Audit:

An accounting firm hired by the BayRICS Authority has completed the FY 2011-2012 annual audit, as required in Section 3.03 of the BayRICS Joint Powers Authority Agreement. The audit is available on the BayRICS Authority web site at: http://www.bayrics.net/uploads/1/2/4/6/12466172/bayrics_fy_12_audit_report_-_1-25-2013.pdf.

4. Regional Pooled Procurement of P25 Mobile and Portable Radios

At the November 1, 2012 meeting, BayRICS Directors proposed an innovative idea to encourage competitive pricing for P25 mobile and portable radios for the Bay Area, by implementing a pooled procurement process. Pooling procurement would leverage the buying power of the region by allowing bulk purchases of radios at a greater discount price than what individual jurisdictions can negotiate standing alone. San Mateo County is currently in the process of procuring mobile and portable devices, so staff sent inquiries on behalf of San Mateo County to other BayRICS members to determine if they had any interest in pooled procurement.

Most members responded that the idea was good in concept, but the timing of radio purchases created problems with pooling to meet the short deadlines of San Mateo County's procurement. For example, several jurisdictions had just completed or were nearly complete with large radio procurements, and were too far along in their process to consider other alternatives. Other jurisdictions had no plans to purchase radios in the near future.

Many members indicated that they would be interested in considering a pooled procurement approach as part of a long-term device replacement plan. At the January 10, 2013 BayRICS meeting staff was directed to conduct more research be conducted on this proposal, and be incorporated as part of any regional communications planning efforts that the Board may consider in the future.

5. Request for Qualifications for Technical Consulting Services

On October 19, 2012, BayRICS issues a Request for Qualifications (RFQ) for Technical Consulting Services. The application submission period closed on November 27, 2012 and resulted in the submission of 10 applications. These applications are currently being evaluated by a panel of TAC members and Bay Area technical experts, with a final list of qualified consultants expected to be complete by the February Board Meeting. This list of qualified vendors may be used, subject to BayRICS approval, to award one of more contracts for technical consulting services, based on the availability of funding.

6. T Band Spectrum Givebacks

At the November 1, 2012 Board meeting, staff provided a report on the public safety "T-Band" spectrum (480-512MHz) givebacks mandated under the Spectrum Act. The Board directed staff to report back with additional research on T-Band needs and usage by member jurisdictions. Staff solicited information about T-Band usage from members and reported back to the BayRICS Board at the January 10, 2013 meeting.

The responses indicate differing levels of reliance on the T-Band, with several Counties indicating no T-Band usage by public safety (San Francisco, Sonoma, Oakland), while other

jurisdictions indicate moderate to heavy usage of the T Band (Marin, Santa Clara and San Mateo Counties). While the impact of a mandated giveback varies by agency, it is evident that the giveback could potentially affect thousands of public safety users in the Bay Area. At the same time the funding, timetable and process to implement the giveback requirements are uncertain. Moreover, comparable spectrum bands for the relocation of these public safety radio services have not been identified, and available spectrum remains a scarce commodity.

Staff recently participated in a conference call with FCC Public Safety Bureau staff, and was informed that a Notice of Proposed Rulemaking on the T Band givebacks would be issued by the Commission very soon. Staff will continue to monitor the issue and will provide recommendations for a response by the Authority at the appropriate time.

7. Regional Voice Communications Capabilities

At the November 1, 2012 BayRICS Meeting, staff was asked to investigate the potential role for BayRICS in the coordination of regional interoperable voice communications projects. Staff conducted a series of meetings with the Technical Advisory Committee (TAC), UASI Management Team and UASI Interoperability Work Group to discuss the needs of the regional voice projects and potential ways for BayRICS to address these needs.

At the January 10, 2013 meeting the Board directed staff to develop a report the assess the need for regional voice communications coordination, including the current status of the four P25 sub-regional projects, current regional funding efforts, the potential role of the BayRICS Authority and potential costs to the Authority, such as staff time and resources, required to assume such a role. Staff is in the process of developing this report

8. BayLoop Extended Maintenance and Network Monitoring

Staff and members of the BayLoop Working Group have completed negotiations with Aviat Networks, Inc. on a 12-month master Maintenance and Network Monitoring Agreement that includes maintenance services, technical support, corrective maintenance, preventive maintenance, and advanced Network Operations Center (NOC) services. A final draft of this agreement is available on the BayRICS web site at www.bayrics.net.

This item was continued from the January 10, 2013 Board meeting to resolve issues regarding current site status, equipment counts covered under the agreement and network monitoring connectivity of some equipment. Since that meeting, Aviat and BayLoop Counties have worked together to resolve these issues. Each BayLoop site has been visited by Aviat staff and assessed for current status, equipment count and connectivity. This additional preparation has resulted in more certainty and clarity in the scope of services to be provided under this agreement.

Funding to pay for the services provided under this agreement has been provided through Bay Area UASI grants. Staff has been advised by the UASI that it must enter into a sub-grantee agreement with another Bay Area UASI grantee in order to obtain the funding to pay for the maintenance and monitoring services. At the November meeting, the Board designated the County of Alameda as the sub-grantee for acceptance of UASI grant funding to pay Bayloop maintenance and monitoring costs covered in the Aviat Agreement. Staff will coordinate with Alameda County and UASI staff to ensure that all payments will be timely made.

9. APCO Emerging Technology Forum

Staff attended a workshop on January 31, 2013 that included presentations form FirstNet Board Members Kevin McGinnis and Craig Ferrill. Mr. Ferrill said that an announcement would be out very soon on the BTOP early builder's projects. He also stated that a user device Request for Information will be out in the next month to solicit information about mobile and portable devices that would be capable of operating on the public safety broadband spectrum. Chief McGinnis has an EMS background and gave a very good presentation on EMS applications/use of FirstNet.

Other panel topics included: Next Generation 9-1-1status, cyber security, updates on certification of LTE equipment for public safety use and innovative new tools and applications for public safety.

10. Meeting with Japan National Police Agency

On February 4, staff met with Ikuro Nishimura and Akira Takasaigi with the Police Communications Research Center of Japan's National Police Agency. Staff briefed the delegation on the status and challenges of BayWEB, and heard reports on LTE broadband for public safety in Japan. The Japanese delegation reported many challenges similar in nature to the ones faced in the Bay Area. The delegation also provided insightful information on the communications challenges encountered in Japan after major earthquakes and tsunamis, including a revealing comparison of the impact of these disasters on commercial networks and public safety grade voice systems in Japan.

11. Next BayRICS Board Meeting: the BayRICS Board meets on Thursday February 14 at 1:30 PM at the Alameda County Sheriff OES, Room 1013.

UASI Approval Authority and Management Team Tracking Tool

			Special Request Items/Assignments			
#	Name	Deliverable	Who	Date Assigned	Due Date	Status / Comments
1	Preliminary approval of FY13 hub projects	Presentation	UASI Staff	12/13/12	3/14/13	
2	Workgroup and Hub Report and Lessons Learned	Report	UASI Staff	12/13/12	3/14/13	
3	Logistics Plan & Critical Life Lines	Presentation	Janell Myhre	1/15/12	3/14/13	
4	Risk Allocation Funding Formula	Presentation	Catherine Spaulding	1/15/13	TBD	To be scheduled as soon as possible after issuance of DHS risk formula
5	RCPGP Community Preparedness Projects	Presentation	Janell Myhre	1/15/13	3/14 or 4/11/13	
6	Approval of FY13 grant allocations	Presentation	Catherine Spaulding	12/13/12	TBD	To be scheduled as soon as possible after receipt of grant allocation
7	Planning for unspent FY11 and FY12 funds	Presentation	Catherine Spaulding	12/13/12	3/14/13	
8	Land-Use Recovery Strategy & Regional Resilience Initiative Gap Analysis	Presentation	Elizabeth Holden	1/15/13	3/14/13	
8	RCPGP Integration into Urban Shield	Presentation	Tom Perry	1/15/13	5/9/13	
9	Approval of the Management Team budget and organization chart	Presentation	Craig Dziedzic	12/13/12	6/13/13	
10	Updates to Management Team policies and procedures manual	Report	Catherine Spaulding	12/13/12	6/13/13	
11	Updates to grants and projects policies and procedures manual	Report	Catherine Spaulding	12/13/12	7/11/13	
12	Update to the Approval Authority MOU and Bylaws	Report	Craig Dziedzic	12/13/12	12/12/13	
			Regular Items/Assignments			
#	Name	Deliverable	Who	Date Assigned	Due Date	Status / Comments
A	UASI Quarterly Reports	Report	Tristan Levardo		3/14/13	3/14 IECGP 4/11 2010 UASI 5/9 2011 UASI and Travel Expense
B	UASI Advisory Group Report	Report	Mike Sena, Chair		3/14/13	Update from the Advisory Group Meeting
C	BayRICS JPA Progress Report	Report	Barry Fraser		3/14/13	Update from the BayRICS JPA
D	RCPT Advisory Group	Report	Janell Myhre		3/14/13	Update on the status of RCPGP projects.
E	Budget reallocations under $250,000	Report	Tristan Levardo		3/14/13 & 9/12/13 (Biannually)	
F	Election of UASI Officers	Discussion & action item	Chair		12/12/13 (Annually)	