



**To: Bay Area UASI Approval Authority**

**From: Mike Sena, Chairman – UASI Advisory Group  
Deputy Director – Northern California Regional Intelligence Center**

**Date: June 14, 2012**

**RE: Item #4: UASI Advisory Group Report**

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### **UASI ADVISORY GROUP REPORT**

On May 24<sup>th</sup>, 2012, The UASI Advisory Group held a meeting to review the reallocation of \$766,000 from FY 2010 funding from Santa Clara County to Alameda County in exchange for Alameda County FY 2012 UASI funds related to interoperable communications projects. The Advisory Group discussed the Risk Management review process with the UASI Management Team. The Advisory Group also reviewed the potential funding allocation and potential re-allocation strategies and reviewed proposals to reduce the timelines from award acceptance to expenditure of funds.

#### **Discussion:**

**1) APPROVAL OF FUND TRANSFERS BETWEEN ALAMEDA AND SANTA CLARA COUNTY FOR UASI 2010 & UASI 2012 neutral exchange of \$766,000 for interoperable communications projects (Discussion and Action)**

Recommendation and motion to move forward with the addition of interoperable communications subject matter experts (SME's) from respective jurisdictions to be present and available for any comments at the next Approval Authority meeting. I made a motion to approve that was seconded by Rob Dudgeon. The Advisory Group recommends that the Approval Authority approve the transfer of funds.



## **2) RISK MANAGEMENT MEETINGS (Discussion)**

UASI Management Team Member/Santa Clara County Sheriff's Captain Kevin Jensen presented a proposal regarding the development of future Risk Management Meetings to review and update the Regional Risk Analysis Centers data. The proposed process will be to have a regional Kick Off meeting, followed by follow-up Risk Management and Information Sharing Workgroup meetings to review and update the Risk Validation Process (to be completed July/August), Regional Capabilities Assessment (scheduled for 10/16 and 10/17).

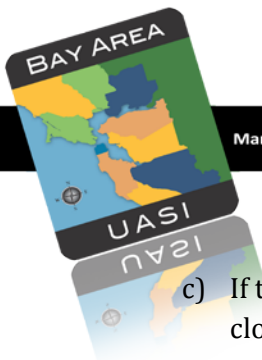
## **3) DEVELOPMENT OF THE FY 2013 GRANT PROCESS PROPOSAL AND FUNDING REALLOCATION PROCESS (Discussion and Possible Action)**

Advisory Group Vice-Chairman/ San Jose Police Department Deputy Chief Dave Hober provided an overview of a proposal to utilize the four working group's model, (Interoperable Communication, Risk Management and Information Sharing, CBRNE & Training, and Regional Catastrophic Planning & Public Health) to develop proposed project lists in the future based on the Risk Analysis Center (RAC) Data. San Francisco Department of Emergency Management's Deputy Director Rob Dudgeon and Patrick Leung submitted a proposal that allocations should be weighted by a combination of the RAC and working group determinations of the needs of the Region. The proposal also included adding an additional sustainment category for each operational area within the UASI. The Advisory Group discussed the potential operational area sustainment proposal as being administered similar to the State Homeland Security Grant Program Funding for operational areas to enhance individual operational area projects.

The Advisory Group also reviewed the process for the reallocation of funding for jurisdictions and programs that would be unable to expend the balance of their allocated funds prior to the closing of the grant year for which it was issued.

The following recommendations were developed by the Advisory Group:

- a) The UASI Management Team should develop a list of Approval Authority pre-approved projects that can be completed within 6 months from the closure of the grant.
- b) Jurisdictions and programs that are unable to expend the funds on a specific project within the term of the grant cycle should be allowed to transfer funding to another approved project they can complete within the term of the grant cycle. The Advisory Group discussed the fact that a modification of a grant workbook was much faster than the potential modification of an agreement that could take months.



- c) If the jurisdiction or program is unable to complete any project within the time frame of a closing grant the jurisdiction or program may neutrally exchange funding for the same amount for a different grant year with another jurisdiction or program that has the capacity to complete a project within the time frame of the closing grant.
- d) If the jurisdiction is unable to locate another jurisdiction to exchange grant funding the UASI Management Team will assist the operational areas with identifying an approved project, based on the most current UASI approved projects list that has the capacity to execute a project within the time frame of the closing grant. For the FY 2010 Grant Year, the project must be able to be completed by February 2013.
- e) Sustainment projects that are unable to expend funds based on the criteria above over \$250,000, would return the funds to the UASI for reallocation to the region for redistribution based on the current risk allocation funding formula.

The Advisory Group also discussed proposals for the reduction in time to expend funds. I proposed the development of multi-year agreements providing for a maximum allocation with a modification each year to include the amount of funding awarded. Rob Dudgeon proposed the development of a regional consolidated master contract for procurement to alleviate the time that it takes to expend funds. The Advisory Group decided to explore the potential for these two items at future meetings.

## Conclusion

The UASI Advisory Group will continue to develop proposals for the UASI allocation and potential reallocation strategies during the June and July Advisory Group meetings.