



To: Bay Area UASI Approval Authority
From: Catherine Spaulding, Assistant General Manager
Date: August 14, 2014
Re: Item #4: FY11 and FY12 Unspent Funds

Staff Recommendations:

1. Approve reallocation of \$1.2 million to FY14 hub-selected projects that can be completed by the end of calendar year 2014
2. Approve reallocation of \$400,000 to address high risk and gap areas

Action or Discussion Items:

Action

Discussion:

The Management Team seeks policy direction from the Approval Authority concerning how to reallocate \$1.6 million from FY11 and FY12 UASI. The Management Team presents two recommendations in this regard: to approve reallocation of funds to FY14 hub-selected projects and to use a small portion to address priority regional gaps. These recommendations are consistent with our past practices of reallocation when substantial savings have been identified.

I. Background:

There is currently a \$1.6 million unspent balance in UASI FY11 and FY12 grant funds resulting from a return of allocations after January 2014 when the regional procurement was triggered to redistribute funding to the region. Returned allocations are also available from the Management Team due to savings on salaries and rental costs. These funds must be spent by December 31st, 2014.

II. Recommendation #1 – Reallocate \$1.2 million to FY14 hub-selected projects

The Management Team recommends that \$1.2 million of the \$1.6 million be distributed to the hubs based on the 2013 risk allocation formula to support projects identified by the hubs as part of the FY14 cycle. Projects would be funded in order of priority (as identified by the hubs) provided that the projects can be successfully completed by December 31, 2014 and do not include positions. A \$1.2 million allocation to the hubs would break out as follows:

Hub	Risk Allocation (2013)	Amount
North	7.5%	\$ 90,000
South	25.8%	\$ 309,240
East	25.3%	\$ 303,240
West	41.5%	\$ 497,520
TOTAL	100%	\$1,200,000

The Management Team recommends a reallocation of \$1.2 million to the hubs because this is 75% of the total amount, the same percentage used in March 2013 when the region reallocated salary savings from FY11 and FY12 from the NCRIC and Training and Exercise Program.

With Approval Authority approval of the reallocation of the FY11 and FY12 unspent funds to the FY14 hub-selected projects, the Management Team will confirm actual project selections with project leads and hub members for each hub. Given the tight timeframe, the Management Team would commence this work immediately and would seek confirmation of projects from stakeholders and hub representatives within one week.

III. Recommendation #2 – Reallocate \$400,000 to address priority capability gaps

The Management Team recommends that the remaining \$400,000 of the FY11 and FY12 unspent funds be used to address core capabilities that rank highest in our risk and gap analysis. This is consistent with how the Bay Area UASI has reallocated funds in the past when substantial savings have been identified.

The public information and warning core capability is currently the fourth highest ranked in terms of risk and gap for the Bay Area region. Consultation with stakeholders suggested that there was considerable need and interest to improve capability in the public warning area. The Management Team recommends funding in the following areas:

- First-time purchase of notification software
- Notification software upgrades to improve functionality and/or to gain Common Alerting Protocol (CAP) or Integrated Public Alert and Warning System (IPAWS) compliance

- Training, outreach, and exercise on notification and messaging
- “JIC (Joint Information Center) in the box” equipment for EOCs/DOCs

The \$400,000 would be allocated as follows, consistent with representation on the Approval Authority:

Operational Area(s)	\$
Alameda	\$ 50,000
Contra Costa	\$ 50,000
Marin	\$ 50,000
Monterey-Santa Cruz-San Benito	\$ 50,000
San Francisco	\$ 50,000
San Mateo	\$ 50,000
Santa Clara	\$ 50,000
Sonoma-Napa-Solano	\$ 50,000
TOTAL	\$400,000

With Approval Authority approval, the Management Team will conduct in-depth stakeholder needs analysis, consultation, and information dissemination to verify operational need and interest and determine the specifics of spending. Counties must specify how they intend to use the investment by October 15, 2014. The Management Team will report back to the Approval Authority in November 2014 on progress and next steps.