Approval Authority Meeting
Thursday, December 13, 2012
10:00 a.m.

LOCATION
Alameda County Sheriff's Office OES
4985 Broder Blvd., Dublin, CA 94568
OES Assembly Room

AGENDA

CLOSED SESSION – 10:00 a.m.

1. **CALL TO ORDER**
   ROLL CALL

UASI Chair	Anne Kronenberg, City and County of San Francisco
UASI Vice-Chair	Rich Lucia, County of Alameda
Member	Raymond Guzman, City and County of San Francisco
Member	Renee Domingo, City of Oakland
Member	Chris Godley, City of San Jose
Member	Emily Harrison, County of Santa Clara
Member	Mike Casten, County of Contra Costa
Member	Bob Doyle, County of Marin
Member	Sherrie L. Collins, County of Monterey
Member	Carlos Bolanos, County of San Mateo
Member	Mark Aston, County of Sonoma
Member	Brendan Murphy, CalEMA
General Manager	Craig Dziedzic

ITEM: BAY AREA THREAT HAZARD IDENITIFICATION RISK ASSESSMENT
(Document for this item is a report from Bay Area UASI Assistant General Manager, Catherine Spaulding and Digital Sandbox Account Manager, Jason Carroll.)

PUBLIC COMMENT ON CLOSED SESSION ITEM
Prior to adjournment into Closed Session, the public may speak on items to be addressed in Closed Session.

ADJOURNMENT TO CLOSED SESSION: CONFERENCE WITH AUTHORITY COUNSEL PURSUANT TO CALIFORNIA GOVERNMENT CODE SECTION 54957(a)

CONVENE OPEN SESSION – 10:15 a.m. or later, immediately upon conclusion of the Closed Session

2. **APPROVAL OF THE MINUTES** (Discussion, Possible Action)
Discussion and possible action to approve the draft minutes from the November 8, 2012 regular meeting or take any other action related to the matter. *(Document for this item includes draft minutes from November 8, 2012.)* 5 mins.

3. **GENERAL MANAGER'S REPORT**
The General Manager will give an update regarding the following:
  a) UASI FY 2012 Update (Discussion)
  b) UASI FY 2013 Project Proposal Update (Discussion)
  c) General Manager's Approval of International Travel for Member Kronenberg (Discussion, Possible Action)
  d) 2013 Calendar for Approval Authority Meetings (Discussion, Possible Action)
  e) Management Team Staff Update (Discussion)

Possible actions to ratify the General Manager's Approval of International Travel for Member Kronenberg and approve the 2013 Calendar for Approval Authority Meetings or take any action related to the matters. (*Documents for these items are the UASI FY 2012 Update, UASI FY 2013 Project Proposal Update, 2013 Calendar for Approval Authority Meetings, and the Management Team Staff Update.)* 5 mins.

4. **REPORT FROM THE ADVISORY GROUP** (Discussion, Possible Action)
Report from the Chair of the Advisory Group. Possible action to approve any recommendation(s) or take any other action related to this matter. (*Document for this item is a report from Mike Sena.*) 10 mins.

5. **ELECTION OF UASI OFFICERS FOR 2013** (Discussion, Possible Action)
The Chair will receive nominations and conduct a vote on the positions of UASI Chair and Vice Chair for the year 2013. Possible action to support any recommendation(s) or take any other action related to this matter. 10 mins.

6. **UASI AD HOC LEGISLATIVE COMMITTEE TRIP TO DC** (Discussion, Possible Action)
Renee Domingo will provide a report on the UASI Ad Hoc Legislative Committee trip to DC. Possible action to approve any recommendation(s) or take any other action related to this matter. (*Document for this item is a report from Renee Domingo.*) 10 mins.

7. **FY 2010 UASI EXPENDITURE REPORT** (Discussion)
Tristan Levardo will provide an update on the FY 2010 UASI Expenditure report. *(Document for this item is a report from Tristan Levardo.)* 5 mins.

8. **REGIONAL CATASTROPHIC PREPAREDNESS GRANT PROGRAM (RCPGP) PROJECT UPDATES** (Discussion, Possible Action)
Staff will provide an update of RCPGP projects. Possible action to approve any recommendation(s) or take any other action related to this matter. *(Document for this item is a report from Janell Myhre and Tristan Levardo.)* 5 mins.

9. **2012 URBAN SHIELD** (Discussion)
Dennis Houghtelling will provide a presentation on the 2012 Urban Shield exercise. *(Document for this item is a presentation from Dennis Houghtelling.)* 10 mins.

10. **FILLER SECURITY CONTRACT 2013-2014** (Discussion, Possible Action)
    Catherine Spaulding will provide a report on the Filler Security contract for 2013-2014. Possible action to approve any recommendation(s) or take any other action related to this matter. *(Document for this item is a report from Catherine Spaulding.)* 5 mins.

11. **REPORT FROM THE BAY AREA REGIONAL INTEROPERABLE COMMUNICATIONS SYSTEM JOINT POWERS AUTHORITY(BayRICS JPA)** (Discussion, Possible Action)
    Report from Barry Fraser regarding the BayRICS JPA. Possible action to approve the report or take any other action related to this matter. (*Document for this item is a report from Barry Fraser.)* 10 mins.

12. **INTEROPERABLE PROJECTS PROPOSAL PROCESS** (Discussion, Possible Action)
    Report from Barry Fraser regarding the process for interoperable project proposals. Possible action to approve the report or take any other action related to this matter. (*Document for this item is a report from Barry Fraser.)* 10 mins.

13. **TRACKING TOOL** (Discussion, Possible Action)
    Review the tracking tool for accuracy and confirmation of deadlines. Possible action to add or clarify tasks for the Management Team or take other action related to the tracking tool. (*Document for this item is the UASI Approval Authority Tracking Tool.)* 5 mins.

14. **ANNOUNCEMENTS-GOOD OF THE ORDER**

15. **FUTURE AGENDA ITEMS** (Discussion)
    The Approval Authority members will discuss agenda items for future meetings.

16. **GENERAL PUBLIC COMMENT**
    Members of the Public may address the Approval Authority for up to three minutes on items within the jurisdiction of the Bay Area UASI Approval Authority.

17. **ADJOURNMENT**

If any materials related to an item on this agenda have been distributed to the Approval Authority members after distribution of the agenda packet, those materials are available for public inspection at the Department of Emergency Management located at 1011 Turk Street, San Francisco, CA 94102 during normal office hours, 8:00 a.m.- 5:00 p.m.

<u>**Public Participation:**</u>

It is the policy of the Approval Authority to encourage and permit public participation and comment on matters within the Approval Authority's jurisdiction, as follows.

- *Public Comment on Agenda Items*. The Approval Authority will take public comment on each item on the agenda. The Approval Authority will take public comment on an action item before the Approval Authority takes action on that item. Persons addressing the Approval Authority on an agenda item shall confine their remarks to the particular agenda item. For each agenda item, each member of the public may address the Approval Authority once, for up to three minutes. The Chair may limit the public comment on an agenda item to less than three minutes per speaker, based on the nature of the agenda item, the number of anticipated speakers for that item, and the

number and anticipated duration of other agenda items.

- *General Public Comment.* The Approval Authority shall include general public *comment* as an agenda item at each meeting of the Approval Authority. During general public comment, each member of the public may address the Approval Authority on matters within the Approval Authority's jurisdiction. Issues discussed during general public comment must not appear elsewhere on the agenda for that meeting. Each member of the public may address the Approval Authority once during general public comment, for up to three minutes. The Chair may limit the total general public comment to 30 minutes and may limit the time allocated to each speaker depending on the number of speakers during general public comment and the number and anticipated duration of agenda items.

- *Speaker Identification.* Individuals making public comment may be requested, but not required, to identify themselves and whom they represent.

- *Designated Public Comment Area.* Members of the public wishing to address the Approval Authority must speak from the public comment area.

- *Comment, Not Debate*. During public comment, speakers shall address their remarks to the Approval Authority as a whole and not to individual Approval Authority representatives, the General Manager or Management Team members, or the audience. Approval Authority Representatives and other persons are not required to respond to questions from a speaker. Approval Authority Representatives shall not enter into debate or discussion with speakers during public comment, although Approval Authority Representatives may question speakers to obtain clarification. Approval Authority Representatives may ask the General Manager to investigate an issue raised during public comment and later report to the Approval Authority. The lack of a response by the Approval Authority to public comment does not necessarily constitute agreement with or support of comments made during public comment.

- *Speaker Conduct.* The Approval Authority will not tolerate disruptive conduct by individuals making public comment. Speakers who use profanity or engage in yelling, screaming, or other disruptive behavior will be directed to cease that conduct and may be asked to leave the meeting room.

Disability Access
The UASI Approval Authority will hold its meeting at the Alameda County Sheriff's Office OES located at 4985 Broder Blvd. in Dublin, CA 94568.

In compliance with the Americans with Disabilities Act, those requiring accommodations for this meeting should notify Nubia Mendoza, at least 24 hours prior to the meeting at (415) 353-5223

Bay Area UASI Program
Approval Authority Meeting
Thursday, November 8, 2012 10:00 a.m.

LOCATION
Federal Bureau of Investigation
450 Golden Gate Ave., San Francisco, CA 94102
2nd Floor, Hawaii Conference Room

REGULAR MEETING MINUTES
DRAFT

1. Roll Call

Chair Kronenberg called the meeting to open session at 10:40 am. UASI General Manager Craig Dziedzic took roll and Chair Kronenberg, Vice Chair Lucia, Members Godley, Collins, Murphy, and Aston were present. Members Raymond Guzman, Renee Domingo, Mike Casten, Bob Doyle, Carlos Bolanos were absent, but their respective alternates Denise Schmitt, Cathey Eide, Sean Fawell, Dave Augustus, and Mark Wyss were present. Emily Harrison and her representative were absent. Member Collins left after the closed session.

2. Approval of the Minutes

Motion: Approve the minutes from the November 8 Approval Authority meeting.

Moved: Member Godley   **Seconded:** Member Schmitt
Vote: The motion passed unanimously

Chair Kronenberg moved to item 3.

3. General Manager's Report

UASI General Manager, Craig Dziedzic, provided a report on the FY 2012 Homeland Security Grant Program (HSGP) and the Notification of Subgrantee Award Approval from Cal EMA.

Chair Kronenberg moved to item 4.

4. Advisory Group Report

NCRIC Deputy Director, Mike Sena, provided a report on the UASI Advisory Group meeting held on October 25, 2012. The Advisory Group reviewed the UASI sustainment projects and discussed the allocation of funding for the FY 2013 UASI Grant planning process.

After the review of sustainment projects and proposed regional projects, the Advisory Group decided to develop a definition to define sustainment projects. The Advisory Group will be working on that definition at their next meeting in November. The Advisory group will also be developing a proposed plan for allocations that includes sustainment projects and:

- Hub Allocation
- A set aside for the State Requirement (20%)
- A set aside for Management and Administration (5%)
- A set aside for the Management Team ($2M)

Chair Kronenberg moved to item 5.

5. Bay Area Effectiveness Report

UASI Assistant General Manager, Catherine Spaulding, reported on the background of the Effectiveness Report, the reasoning behind the analysis, key points on the Effectiveness Report findings, and the recommended next steps from the Approval Authority.

Josh Filler provided a presentation on the Effectiveness Report regarding the following items:

- The background, methodology, and assumptions
- Bay Area Risk Profile and Allocation of Funding
- Capability improvements and Gaps
- Sustainment

First Motion: Approve the Effectiveness Report
Moved: Member Aston   **Seconded:** Member Schmitt
Vote: The motion passed unanimously

Second Motion: To accept the UASI staff's recommendations
Moved: Member Godley   **Seconded:** Member Aston
Vote: The motion passed unanimously

Chair Kronenberg moved to item 6.

6. Bay Area Homeland Security Strategy

Josh Filler provided a presentation on the Bay Area Homeland Security Strategy regarding the following items:

- The background, purpose, and vision of the Security Strategy
- Bay Area Risk Description Urban Area Structure
- Strategy Development Process
- State and National Goals
- Capabilities Assessment
- Summary of Goals and Objectives

Motion: Approve and adopt the 2012-2015 Bay Area Homeland Security Strategy Report

Moved: Vice Chair Lucia   **Seconded:** Member Godley
Vote: The motion passed unanimously

Chair Kronenberg moved to item 7.

7. 2013 Priority Capability Objectives

Catherine Spaulding reported that the Bay Area's risk management program identified capabilities that are the most "risk relevant" and where gaps in capability are, so that the region invests in those areas. Ms. Spaulding proposed a list of 2013 priority capability objectives and recommended an approval of the list as funding criteria to be included in the 2013 Project Proposal Guidance for the hub/workgroup proposed projects.

The Board members and Mr. Sena, Mr. Filler, and Ms. Spaulding discussed whether Cyber Security should be added to the 2013 priority capabilities objectives. Member Godley indicated that the Board should consider having the Fusion Center create and develop a road map to begin addressing the steps towards Cyber Security risks and gaps. After much discussion, it was agreed upon that Ms. Spaulding would add Cyber Security to the 2013 priority capabilities objectives under goal 2.

Motion: Approve the 2013 proposed list of priority capability objectives for the inclusion as funding criteria for the hub/workgroup process.

Moved: Member Godley   **Seconded:** Member Aston
Vote: The motion passed unanimously

Chair Kronenberg moved to item 8.

8. IECGP and Quarterly Travel Report

UASI Grants Manager, Jane Mason, presented an update of the Interoperable Emergency Communications Grant Program (IECGP) and the quarterly travel report for the period of July 1 through September 30, 2012 on behalf of Chief Financial Officer Tristan Levardo.

Chair Kronenberg moved to item 9.

9. Project Proposal Update

Regional Program Manager, Janell Myhre, provided an update on the FY13 project proposal process. She gave an update on the following items:
- Proposal submission dates open 11/12 and close at 5pm, 11/30.
- Proposals will not be accepted for any reason after 5pm on November 30, 2012.
- Core City project proposals use the same on-line proposal template and must be submitted no later than 5pm on November 30, 2012.

Ms. Myhre indicated that the Hub kick-off meetings dates and locations have been confirmed.

Chair Kronenberg moved to item 10.

10. Regional Catastrophic Preparedness Grant Program (RCPGP) Project Update

Janell Myhre provided an update on the Regional Catastrophic Preparedness Grant Program. Ms. Myhre provided a grant timeline for the RCPGP and UASI grant projects. Ms. Myhre indicated that the short term Logistics Project Manager selection is complete and a conditional offer has been made and contract negotiations are in process.

Ms. Myhre indicated that at the October 24th meeting, the RCPT agreed that a $200K RCPGP Restoration of Lifelines project will be re-allocated to provide for consultant staff in the three (3) Major Cities to ensure their Logistics Appendix to the Regional Logistics Plan is robust and accurate.

Chair Kronenberg moved to item 11.

11. Report from the Bay Regional Interoperable Communications System Joint Powers Authority (BayRICS JPA)

Barry Fraser, interim General Manager for the BayRICS JPA, reported on the status of the BayRICS JPA. Mr. Fraser indicated that the BayRICS Authority held its regular monthly Board of Directors meeting on November 1, 2012. Mr. Fraser stated that action items at the meeting included approval of BayLoop Maintenance and Monitoring Agreement, reports on T-Band spectrum givebacks mandated in H.R. 3630, the hiring of a permanent general manager, and selection of a new BayRICS Secretary. A new Secretary must be named because former BayRICS Secretary Deputy Dave Kozicki has transferred to a new position with the Alameda County Sheriff.

Mr. Fraser also gave an update and overview of the following:

- Administration
- Financial Audit
- UASI 2013 Funding Requests
- Site and Backhaul status
- 700MHz Spectrum Waiver, Spectrum Act, and FirstNet Update

Chair Kronenberg moved to item 12.

12. Tracking Tool
Chair Kronenberg asked the Board for any comments or questions.

Chair Kronenberg indicated that UASI Approval Authority elections for 2013 should be added to the tracking tool and be set as an on-going item.

Chair Kronenberg moved to item 13.

13. Announcements-Good of the Order

Chair Kronenberg asked the Board for any questions.

Chair Kronenberg moved to item 14.

14. Future Agenda Items
Chair Kronenberg asked the Board for any questions. Upon hearing none, Chair Kronenberg moved to item 15.

15. General Public Comment
Chair Kronenberg asked for general public comment.

Christopher Helgren suggested that the NCRIC and the Training and Exercise program partners should collaborate and develop a curriculum that can be approved to expend Homeland Security Training funds to train towards Cyber Security issues.

Chair Kronenberg requested a presentation on this curriculum at the January Approval Authority meeting.

The meeting adjourned at 12:15 p.m.

To: **Bay Area UASI Approval Authority**

From: **Craig Dziedzic, General Manager**

Date: **December 13, 2012**

Re: **Item #3: General Manager's Report**

Recommendations:

    (a) UASI FY 2012 Update. (Discussion Only)
    (b) UASI FY 2013 Project Proposal Update. (Discussion Only)
    (c) Ratification of International Travel for Chair Kronenberg. (Staff recommends approval)
    (d) 2013 Calendar for Approval Authority Meetings. (Staff recommends approval).
    (e) Management Team Staff Update. (Discussion Only)

Action or Discussion Items:

    (a) UASI FY 2012 Update (Discussion Only)
    (b) UASI FY 2013 Project Proposal Update (Discussion Only)
    (c) Ratification of International Travel for Chair Kronenberg (Discussion; Possible Action)
    (d) Calendar for 2013 Approval Authority Meetings (Discussion; Possible Action)
    (e) Management Team Staff Update (Discussion Only)

Discussion/Description:

    (a) UASI FY 2012 (Discussion Only)

The FY 2012 Accept and Expend legislation was approved by the San Francisco Board of Supervisors on November 20, 2012 and signed by Mayor Lee on November 29th. MOUs are being sent out from our office. Our goal is to have all MOUs distributed by the end of December. Each jurisdiction should obtain approvals by their Boards as soon as possible after receiving their MOUs. As a reminder, the FY 2012 UASI is a two year performance period, which closes on May 31, 2014. All purchases must be completed by the end of the sub-recipient performance period (i.e., November 30, 2013), and all reimbursement claims need to be submitted by January 3, 2014.

(b) UASI FY 2013 Project Proposal Update (Discussion Only)

As an update of the 2013 project proposal process, we have completed the four Bay Area Kick-off training meetings and accepted on-line project proposals during the period from November 12 – November 30.

During the first week of December, we sent Approval Authority members a list of their jurisdiction's project proposals to ensure that the proposals aligned with the goals/strategies of the Operational Area in his/her jurisdiction. Approval Authority members had one week to review them (December 3 - December 7). Any revision to a proposal needed to be communicated to Janell Myhre by COB on Friday December 7.

On Monday, December 10, the proposals will be sent to the UASI Workgroups for vetting. After the proposals are reviewed and vetted by the four UASI Workgroups (December 6 – 30), they will be submitted to the various Hubs for a selection process similar to last year. Janell Myhre, who is coordinating the process, has received the names of the Hub voting members from the Approval Authority members.

On the day of their Hub Proposal Selection meetings, all voting members will receive a binder with a spreadsheet summarizing their entire Hub's project proposal submissions and back up proposal documents with project details.

The Hub Proposal Selection meetings are scheduled as follows:

- South Bay Hub: Tues, 1/16/13, 10am-1pm, SCC Sheriff's Office, San Jose
- North Bay Hub: Wed, 1/23/13, 10am-1pm, Marin County OES, San Rafael
- East Bay Hub: Tues, 1/29/13, 10am-1pm, Alameda Sheriff's Office, Dublin
- West Bay Hub: Wed, 1/30/13, 10am-1pm, Dept. of Emergency Management, San Francisco

(c) Ratification of International Travel for Member Kronenberg. (Discussion; Possible Action)

In October 2012, Chair Kronenberg was asked by faculty members of Harvard's National Preparedness Leadership Initiative (NPLI) program to participate in their upcoming delegation to Israel for a workshop and informational exchange on population resilience. The trip is scheduled from January 5, 2013 through January 12, 2013. This is an honor for the Bay Area UASI since only a few NPLI alumni were invited to participate in the delegation.

According to the Bay Area UASI travel policy, approval is required from both the General Manager and Approval Authority for international travel and travel to non-continental destinations. In order to accommodate Harvard's invitation and meet CalEMA/FEMA international travel request requirements, the General Manager approved Chair Kronenberg's request in anticipation that this request would be on the agenda of the next Approval Authority

Meeting, Lessons learned from this trip in the area of community resilience planning/strategies will be beneficial for the entire Bay Area.

Staff recommends approval and ratification of the trip. The total cost for the trip is approximately $8,546, which will be paid for with UASI FY 2010 grant funds. Both CalEMA and FEMA have also already approved the request.

(d) Approval of 2013 Calendar for Approval Authority meetings (Discussion, Possible Action)

Staff requests that the time and place of Approval Authority meetings for 2013 remain the same whereby they will occur on the second Thursday of each month at 10:00 AM at the Alameda County Sheriff's Office OES in Dublin, CA. Attached as Exhibit A, is the 2013 Calendar of Approval Authority Meetings.

(e) Management Team Staff Update (Discussion Only)

1. The Public Health Project Manager Position – Janell Myhre is the POC for the recruitment and selection process. Six candidates applied for the position and are from various areas in CA, including Fresno, Oakland, San Bruno, and Fairfield. Interviews will be conducted on December 11 with a final selection some time that week.

2. The Risk Management/Info Sharing Project Manager Position – Catherine Spaulding is the POC for the recruitment and selection process. Four candidates have applied for the position and are from various jurisdictions (Santa Clara, San Jose, and Sonoma County) as well as from the private sector. The interview and selection process should occur in January or February 2013. In the meantime, we are in the process of finalizing/approving MOUs for Capt. Kevin Jensen (incumbent) to be hired as the California Urban Areas Risk Management Program Manager, which should occur in January 2013.

3. The Regional Grants Manager Position – Catherine Spaulding is the POC for the recruitment and selection process. The position manages all aspects of compliance requirements of grants, contracts, and MOUs, and grants reporting to Local, State and Federal Governments. The SF Department of Human Resources has analyzed the job duties/functions and will be issuing a job announcement. Mary Landers is the incumbent.

EXHIBIT A

APPROVAL AUTHORITY Meetings
Location: Alameda County Sheriff's Office OES
4985 Broder Blvd.
Dublin, CA 94568

Meeting Time: 10AM

(2nd Thursday of Each Month)
Jan 10, 2013
Feb 14, 2013
Mar 14, 2013
Apr 11, 2013
May 9, 2013
Jun 13, 2013
Jul 11, 2013
Aug 8, 2013
Sep 12, 2013
Oct 10, 2013
Nov 14, 2013
Dec 12, 2013

To: Bay Area UASI Approval Authority

From: Mike Sena, NCRIC Director, Advisory Group Chairperson

Date: December 13, 2012

Re: Item #4: Advisory Group Report

Recommendations:

The UASI Advisory Group recommends maintaining the FY 2012 Sustainment and Core City (San Jose, Oakland and San Francisco) Projects at the FY 2013 requested levels of funding, with one exception for the Resource Typing Database Project. Additional FY 2013 funding was requested for the Resource Typing Database Project for a contractor to support collection of resource data. The Advisory Group recommends using the Bay Hub Planner personnel to support the Resource Typing Database Project instead of allocating additional funding beyond the FY 2012 level of sustainment funding. The Advisory Group recommends that there should not be any new sustainment projects added in FY 2013.

The Advisory Group also recommends that regional projects that are larger than individual Hub projects should be submitted to the UASI Management Team for Advisory Group review.

Action or Discussion Items:

On November 29th, 2012, The UASI Advisory Group held a meeting to review the UASI sustainment projects and discuss the allocation of funding for the FY 2013 UASI Grant planning process. The Advisory Group developed recommendations to maintain funding for the BayLoop Project, the Regional Records Management Systems Information Sharing Data Projects (South Bay & West Bay Nodes of COPLINK and East Bay Node of ARIES), the Regional Training and Exercise Project, the Regional Fusion Center Project (NCRIC), the Regions Resource Typing Database Project and the Core City (San Jose, Oakland and San Francisco) Projects.

The Advisory Group also developed a recommendation that there should not be any new sustainment projects added in FY 2013.

The Advisory Group discussed the concept that sustainment projects must meet gaps identified by the Risk Analysis Center (RAC) and that sustainment funding should only be allocated to those core projects that are critical to reducing regional risk and have been maintained over previous grant years. The Advisory Group also discussed the need to annually review those critical core sustainment projects that maintain our Regions capacity to identify, mitigate prevent and respond to threats.

During the discussions there was a recommendation developed regarding the review of regional projects that were larger than individual Hub projects. The Advisory Group recommends that these regional projects should also be submitted to the UASI Management Team for Advisory Group review and submittal to the Approval Authority.

The Advisory Group will continue to develop a proposal for the allocation of funding for Hub and non-sustainment Regional projects at the upcoming advisory group meetings.

To: **Bay Area UASI Approval Authority**

From: **Renee Domingo, Approval Authority Member**

Date: **December 13, 2012**

Re: **Item #6: Ad Hoc Legislative Committee Trip to Washington, DC – Jan. 14-15**

Discussion/Description:

The Bay Area UASI Ad Hoc Legislative Committee will travel to Washington, DC, January 14 and 15 to meet with members of the Obama Administration and Congress. The purpose of the trip is to share the findings of the UASI's recently completed Grant Effectiveness Report, which evaluates UASI investments and demonstrates the need for ongoing funding to the Bay Area. The UASI delegation will include: Chair Anne Kronenberg, member Renee Domingo, member Chris Godley, General Manager Craig Dziedzic, and alternate Amiee Alden, who will provide staff support for the trip.

The Committee plans to request meetings with officials at FEMA and the Bay Area congressional delegation. They may also seek meetings with the Homeland Security Branch of the Office of Management and Budget, and possibly the White House National Security staff. Chair Domingo has scheduled a meeting of the Ad Hoc Legislative Committee immediately following the December 13 UASI meeting to discuss trip planning.

To: Bay Area UASI Approval Authority

From: Tristan Levardo, CFO

Date: December 13, 2012

Re: Item #7: FY2010 UASI Expenditure Report

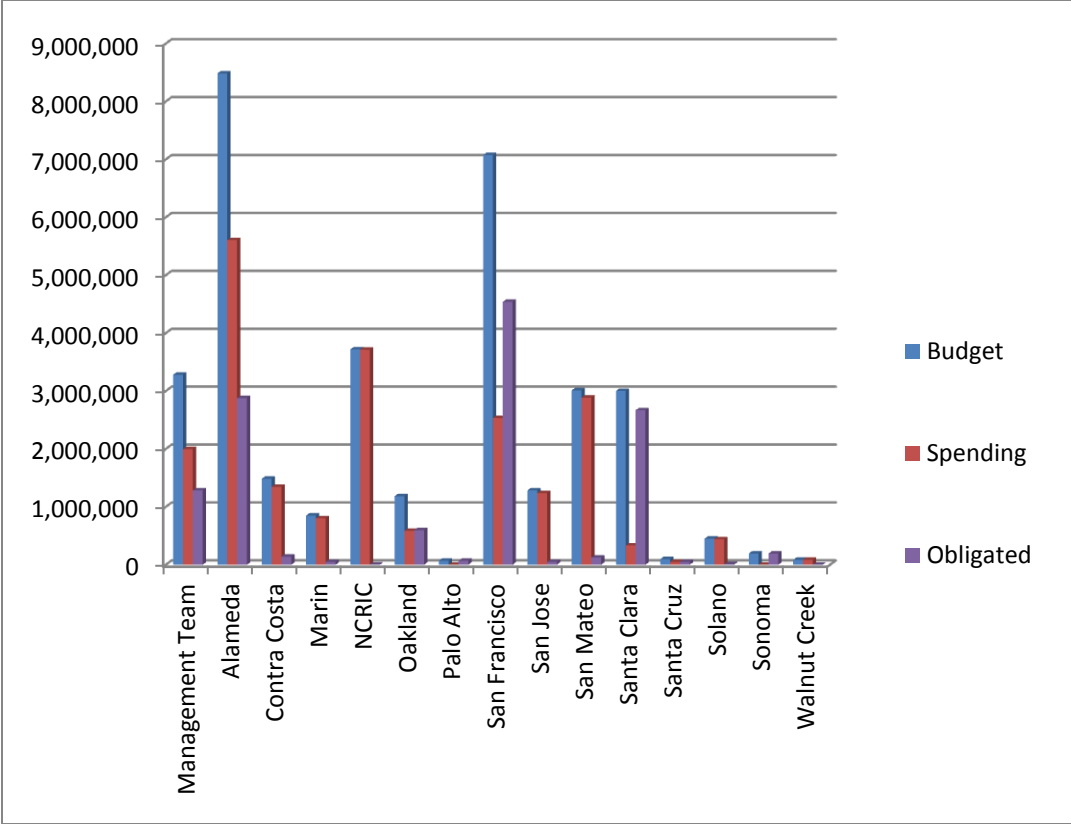**Action or Discussion Item:**

Discussion

Summary

The revised sub-recipient performance period for the FY 2010 UASI grant is October 21, 2010 – January 31, 2013, with final claim for reimbursement due no later than February 28, 2013. As agreed in the Approval Authority meeting in July, only the performance period for personnel is being extended up to February 28, 2013. However, no funding reallocation or period extension will be allowed for grant dusts coming from non-personnel budget. From a spending level of 28% reported in July, our overall expenditures have now increased to 63% of the budget.

Financial Information:

Jurisdiction	Budget	Spent	Spent %	Obligated	Remarks
Management Team	3,281,261	1,997,233	61%	1,284,028	Budget will be fully expended. Program staff charges and M&A items will be posted. $395K in Medical Surge project will be completed by 1/31/13.

Alameda	8,484,379	5,605,938	41%	2,878,441	Training and exercise charges of $1.8M and equipment projects of $1M will fully exhaust the budget.
Contra Costa	1,484,329	1,344,865	91%	139,464	Outstanding claims will come from equipment purchase for alternate care sites.
Marin	849,402	800,972	94%	48,430	Claim for vehicle upgrade charges is pending.
NCRIC	3,718,623	3,713,960	99%	4,663	All projects are completed.
Oakland	1,182,200	585,333	50%	596,867	Various EOC projects and staff charges will be claimed.
Palo Alto	68,800			68,800	Claim for vehicle purchase is pending.
San Francisco	7,073,748	2,533,763	36%	4,539,985	Personnel charges of $1.3M extending to 2/28/13 will be claimed. Equipment projects of $3.2M will be completed by 1/31/13.
San Jose	1,283,290	1,236,361	96%	46,929	All projects are completed and remaining balance will be liquidated.

San Mateo	3,010,277	2,886,293	96%	123,984	All projects are completed and remaining balance will be liquidated.
Santa Clara	3,000,301	332,692	11%	2,667,609	About $250K will come from personnel charges, the rest of the $2.4M budget will be used for equipment. Some grant dusts are expected.
Santa Cruz	97,000	48,500	50%	48,500	Claim for the command truck is pending.
Solano	449,905	440,290	98%	9,615	All projects are completed and remaining balance will be liquidated.
Sonoma	194,000			194,000	Claims for the interoperable communication project are pending.
Walnut Creek	84,616	84,616	100%		All projects are completed.
Total	**34,262,131**	**21,610,815**	**63%**	**12,651,316**	

A grouped bar chart showing Budget, Spending, and Obligated amounts (0 to 9,000,000) for: Management Team, Alameda, Contra Costa, Marin, NCRIC, Oakland, Palo Alto, San Francisco, San Jose, San Mateo, Santa Clara, Santa Cruz, Solano, Sonoma, Walnut Creek.

Staff Recommendation:

N/A

Action Requested of the UASI Approval Authority:

Information Only

To: Bay Area UASI Approval Authority

From: Janell Myhre, Regional Program Manager

Date: December 13, 2012

Re: Item #8: Regional Catastrophic Preparedness Grant Program (RCPGP) Update

Recommendations:
RCPGP Update: Discussion Only

Action or Discussion Items:
Discussion Only

Discussion/Description:
RCPGP FY 10 and FY11 deliverables are in progress or met. Extension request in progress for FY10. Regional project status includes:

- FY09 Statewide Debris Management Project: Two, one-day workshops scheduled in LA January 8 and 9, 2013. Bay Area subject matter experts from the OAs will be invited to attend and contractor will handle travel arrangements. Outreach to Bay Area agencies for representatives being conducted in December, 2012. A statewide workshop is tentatively scheduled for January 17, 2013. Further update of the process will be presented at the January 2013 Approval Authority meeting.
- FY10 Logistics Plan: first DRAFT of plan due December 14, 2012. Estimated start date for Major City Local Planners is January 2, 2013.
- FY10 Community Preparedness/Public Information projects: (extension requested)
  - Get Ready 5 (City of San Rafael): Completed. Documents available for review on December 5, 2012.
  - Building Community Resiliency (City of Oakland): In Progress.
  - Regional Joint Information Center (JIC): Contractor proposal agreed on by RCPT at November meeting. Start date January, 2013.
- FY10 SPUR and ABAG projects: To be completed by February 28, 2013. Report due to Approval Authority at February 2013 meeting.
- FY11 Full Scale and Tabletop Exercise with on-line training package: RFP released by CCSF. Estimated start date February 15, 2013.

RCPGP 25% match being sought throughout Bay Area.
- FY 08 and 09 match has been met.
- FY 10 and 11 match requires assistance from OAs and Major Cities:

FY 10- Performance Period: September 20, 2010 – April 30, 2013

Grant Award: **$3,570,000**
Required 25% Match: **$1,190,000**

Status:

Jurisdiction/Vendor	Required Match	Amount submitted	Amount Remaining
ABAG	$ 50,000	$ 0	$ 50,000
Marin	$ 41,762	$ 0	$ 41,762
Monterey	$ 560	$ 2,238	$ 0
Oakland 1	$ 70,667	$ 0	$ 70,667
Oakland 2	$ 83,474	$ 0	$ 83,474
San Francisco	$109,108	$ 13,899	$ 95,209
San Rafael	$ 42,520	$ 42,520	$ 0
Santa Clara	$ 91,667	$ 0	$ 91,667
SPUR	$ 16,667	$ 0	$ 16,667
Alameda*	$ 0	$183,730	$ 0*
URS*	$ 0	$ 6,262	$491,905*
Total	**$506,425**	**$248,649**	**$941,351**

*Note: Alameda was not required to provide Match for regional training because it simply provided the training facility. It is the responsibility of each jurisdiction to provide match information when sending attendees to training at Alameda. Vendor was not required to provide match because it is the responsibility of each jurisdiction to provide match information when sending participants for Logistics Plan development.

Summary:

Required Match: $1,190,000
Less Match Submitted: $ 248,649 (includes Match info submitted by Alameda and URS)
<u>Less Required Match Remaining:</u> $ 449,446
Total Match Shortfall   **$ 491,905**

FY 11- Grant Performance Period: July 1, 2011 – March 31, 2014

Grant Award: **$1,281,976**
Required 25% Match: **$ 427,325**

Status:

Jurisdiction/Vendor	Required Match	Amount submitted	Amount Remaining
No MOUs to be issued*	$427,325	$0	$427,325*

*Attendees at Training classes and participants in Urban Shield are to be used as Match.
To date, no funds have been expended.

To: **Bay Area UASI Approval Authority**

From: **Dennis Houghtelling, Commander**
                 Bay Area UASI Regional Training & Exercise Project Manager

Date: **December 13, 2012**

RE: **Item #9 - Urban Shield 2012-After Exercise Summary Report**

2012 URBAN SHIELD-STATUS REPORT:

This report is being presented to the Bay Area UASI Approval Authority to provide information regarding the Urban Shield 2012 full-scale exercise. The exercise kick-off took place on Friday, October 26, 2012. The actual exercise was conducted on October 27th and 28th and the exercise concluded with a Final Event on October 27th.   The Final Event included a vendor show, training seminar, hot-wash and recognition banquet.   This presentation will provide the Approval Authority with an overview of the events and highlights which occurred during Urban Shield 2012.

The Urban Shield 2012 Incident Commander, Alameda County Sheriff's Office Captain Tom Madigan, will provide the After Exercise Summary presentation to the Approval Authority.

CONCLUSION:

Questions/Answers
Approval Authority Comments

Attachment: Urban Shield 2012- PowerPoint Presentation

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October 26-29, 2012

Risk Overview Assessment

- Law enforcement, fire and EMS personnel, representing agencies throughout the Bay Area UASI Region, participated in some, or all, of the 47 realistic scenarios. Their capabilities and equipment were evaluated by related experts, in order to provide an accurate regional assessment that result in a meaningful Gap Analysis, After Action Report (AAR) and Improvement Plan.

- Risk Management to develop and maintain the tracking of regional assets so that we verify their location, level of readiness and capability to improve regional preparedness.

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ALAMEDA COUNTY SHERIFF'S OFFICE
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INTEGRATED CRITICAL INFRASTRUCTURE

- The overarching goals of Urban Shield is to provide a multi-layered training exercise to enhance the skills and abilities of regional first responders, as well as those responsible for coordinating and managing large scale events. This exercise is designed to identify and stretch regional resources to their limits while expanding regional collaboration and building positive relationships. In addition, this exercise provides increased local business and critical infrastructure collaboration.

- Urban Shield 2012 operated under the principals of the National Incident Management System (NIMS), Standardized Emergency Management System (SEMS) and the Incident Command System (ICS) structure. Regional emergency management personnel controlled the exercise utilizing the Emergency Operation Centers in San Francisco, San Mateo, and Alameda Counties, as well as the Lawrence Berkeley National Laboratory functioning as the Area Commands. Alameda County OHSES served as the Department Operations Center (DOC).

TARGET CAPABILITIES

- The National Planning Scenarios and the establishment of the National Preparedness Priorities have steered the focus of homeland security toward a capabilities-based planning approach. This type of planning is generally conducted with some uncertainty, because it is extremely difficult to forecast future disasters with a high level of accuracy. Therefore, capabilities-based planning takes an all-hazards approach to planning and preparation and builds capabilities that can be applied to a wide variety of incidents.

- States and urban areas use capabilities-based planning to identify a baseline assessment of their homeland security efforts by comparing their current capabilities against the Target Capabilities List (TCL) and the critical tasks of the Universal Task List (UTL). This approach identifies gaps in current capabilities and focuses efforts on identifying and developing priority capabilities and tasks for our region. These priority capabilities are articulated in the Bay Area Urban Area Security Initiative (UASI) Multi-Year Training and Exercise Plan, of which this exercise is a component.

PROGRAM AREAS

Exercise Site	Target Capability	Objective
**Overarching Objectives**	
All Sites	Common Mission: Communications	Test new regional communication capabilities.
All Sites	Respond Mission: On Site Incident Management	Regional control and coordination, utilizing the ICS structure for specialized emergency response teams will be consistent with NIMS and SEMS.

PROGRAM AREAS

Exercise Site	Target Capability	Objective
**Site-Specific Objectives**		
All Sites	Respond Mission: On-Site Incident Management	Assess the Region's ability to safely and appropriately conduct an initial assessment at critical incident scenes.
All Sites	Prevent Mission: Counter-Terror Investigation and Law Enforcement	Evaluate Regional tactical capabilities related to law enforcement intervention/interdiction operations, develop appropriate action plans, personnel deployment, hostage rescue, active shooter and dignitary protection.
All Sites	Prevent Mission: Counter-Terror Investigation and Law Enforcement	The Region will demonstrate the capability to successfully deter, detect, disrupt, investigate, and/or apprehend suspects involved in criminal activities related to homeland security.

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PROGRAM AREAS

Exercise Site	Target Capability	Objective
Site-Specific Objectives		
Scenarios: #8, 9, 14, 20, 21, 22, 23 & 24	Protect Mission: Critical Infrastructure Protection	Integrate critical infrastructure sites and their onsite personnel into a collaborative, unified command response to emergency/disaster events.
Scenario: #27	Respond Mission: Emergency Public Safety & Security Response	Evaluate the integration between law enforcement and EMS teams in a "warm zone" environment with victims requiring immediate medical intervention and evacuation.
Scenarios: #F2 and F4	Prevent Mission: CBRNE Detection	Assess USAR, HazMat and EOD core competencies in response to a CBRNE incident.
Scenarios: #E-1, E2, & E3	Respond Mission: Explosive Device Response Operations	Evaluate Regional Bomb Squads ability to properly respond, assess and render safe improvised explosive devices and other hazards.

Supporting Agencies
Regional Agencies/Organizations

- Alameda County Sheriff's Office
- Alameda County District Attorney
- Alameda County Emergency Medical Services
- Alameda County Fire Department
- Alameda County Probation Department
- Alameda Police Department
- Albany Police Department
- BART Police Department
- Belmont Fire Department
- Berkeley Police Department
- Castro Valley Unified School District
- Central County Fire Department
- City of Foster City
- City and County of San Francisco
- City of South San Francisco
- Coastside Fire District
- Contra Costa County Health Department
- Contra Costa County Sheriff's Office
- Corte Madera Fire Department
- Daly City Police Department
- East Bay Regional Parks District
- East Bay Regional Parks Police Department
- Emeryville Police Department
- Foster City Corporation Yard
- Foster City Fire Department
- Foster City Police Department
- Fremont Fire Department
- Fremont Police Department
- General Services Agency Department
- Hayward Police Department
- Livermore Police Department
- Livermore-Pleasanton Fire Department
- Marin County JPA Hazmat Team
- Marin County Urban Search and Rescue Team
- Menlo Park Fire Department
- Modesto Police Department
- Morongo Valley Fire Department
- Napa County Fire Department

SUPPORTING AGENCIES
REGIONAL AGENCIES/ORGANIZATIONS

- Napa Interagency Rescue Team
- Newark Police Department
- North County Fire Authority
- Oakland Fire Department
- Oakland Housing Authority Police Department
- Oakland Police Department
- Palo Alto Police Department
- Pittsburg Police Department
- Redwood City Police Department
- Richmond Fire Department
- Richmond Police Department
- Rohnert Park Department of Public Safety
- Sacramento Police Department
- San Bruno Jail Facility
- San Francisco BART District – Colma Station
- San Francisco County Sheriff's Department
- San Francisco Fire Department
- San Francisco Police Department
- San Jose Fire Department

- San Jose Police Department
- San Leandro Police Department
- San Mateo County
- San Mateo County Fire Department
- San Mateo County Health Department
- San Mateo County Sheriff's Office
- San Ramon Valley Fire Department
- Santa Clara City Fire Department
- Santa Clara County Fire Department
- Santa Rosa Police Department
- Seaside Fire Department
- Sonoma County Sheriff's Office
- South San Francisco Fire Department
- South San Francisco Public Works
- Southern Marin Fire District
- Stanislaus County Sheriff's Office
- Sunnyvale Department of Public Safety
- Union City Police Department
- Walnut Creek Police Department

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SUPPORTING AGENCIES
STATE AGENCIES/ORGANIZATIONS

- Angel Island State Park
- Cal Fire
- Cal-Explorer Search and Rescue
- California Army National Guard
- California Department of Corrections and Rehabilitation
- California Department of Transportation (Caltrans) – Bay Bridge
- California Highway Patrol
- California State Parks
- California State Sheriff's Association (CSSA)
- California State University East Bay
- California State University East Bay Police Department
- Castro Valley Unified School District
- Commission on Peace Officer Standards and Training (P.O.S.T.)
- Las Positas College
- Northern California Regional Intelligence Center
- University of California – Berkeley
- University of California – Berkeley Police Department

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SUPPORTING AGENCIES
FEDERAL AGENCIES/ORGANIZATIONS

- Bay Area Urban Area Security Initiative
- 95th Civil Support Team
- Amtrak - Oakland
- Amtrak Police Department
- Bureau of Alcohol Tobacco Firearms Explosives (ATF)
- Drug Enforcement Agency (DEA)
- Federal Bureau of Investigations – San Francisco (FBI)
- Federal Emergency Management Agency (FEMA)
- Lawrence Berkeley National Laboratory (LBNL)
- Louisiana State University - National Center   for Biomedical Research & Training
- NAS Alameda - US Maritime Administration
- National Weather Service
- Navy Operational Support Center Alameda
- Transportation Security Administration (TSA)
- United States Department of Homeland Security (DHS)
- United States Coast Guard
- United States Marshals
- United States Navy

SUPPORTING AGENCIES
INTERNATIONAL AGENCIES/ORGANIZATIONS

- Consulate General of Israel – San Francisco

- Country of Brazil

- Country of Guam

- Israeli Yamam

- Country of Norway

- Country of Switzerland

SUPPORTING AGENCIES
PUBLIC/PRIVATE PARTNERS

- American Medical Response (AMR)
- Boy Scouts of America
- Chavez Management Group
- Children's Hospital and Research Center
- Cisco Systems
- Cobalt
- Corizon Health Services
- Cytel Group
- Denevi Video
- Dolphin Graphics
- Elite Security Service
- Execushield
- Faber Trust Group
- FedEx
- Fulcrum Concepts, LLC
- Marriott Convention Center – Oakland
- NorCal Ambulance
- Novartis – Alpha Act

- Operational Medicine Institute
- Paramedics Plus
- Port of Oakland
- Port of Redwood City
- QUEST, Quality Emergency & Safety Training
- San Francisco PUC Watershed
- TransAmerica Pyramid Properties
- Verizon Wireless

PARTICIPATING TEAMS
31 SWAT PARTICIPATING TEAMS

Alameda County Sheriff's Office

East County Tactical

Berkeley Police Department

Fremont Police Department

Brazil (BOPE)

Hayward Police Department

California Department of Corrections

Marin County Sheriff's Office

Chicago Police Department

Newark Police Department

Contra Costa County Sheriff's Office

Oakland Police Department

Daly City Police Department

Palo Alto Police Department

East Bay Regional Parks Police

Redwood City Police Department

PARTICIPATING TEAMS
31 SWAT PARTICIPATING TEAMS

Richmond Police Department

Sacramento Police Department

San Francisco Police Department

San Francisco Sheriff's Department

San Jose Police Department

San Leandro Police Department

San Mateo County Sheriff's Office

Santa Clara County Sheriff's Office

Sonoma County Sheriff's Office

Stanislaus County Sheriff's Office

Sunnyvale Police Department

Travis County Sheriff's Office, Texas

Union City Police Department

U.C. Berkeley Police Department

U.S. Marine Corp Force Recon Team

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ALAMEDA COUNTY SHERIFF'S OFFICE
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PARTICIPATING TEAMS
19 PARTICIPATING FIRE AGENCIES

Alameda County Fire Department

Belmont Fire Department

Central County Fire Department

Combined Contra Costa HazMat Team

Fremont Fire Department

Idaho CST

Livermore-Pleasanton Fire Department

Marin County JPA

Marin County Regional Task Force

Menlo Park Fire Department

Napa Interagency Rescue Team

Oakland Fire Department

Roseville Fire Department

San Jose Fire Department

Santa Clara City Fire Department

Santa Clara County Fire Department

South San Francisco Fire Department

Sunnyvale Department Public Safety

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ALAMEDA COUNTY SHERIFF'S OFFICE
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PARTICIPATING TEAMS
8 EOD PARTICIPATING TEAMS

Berkeley Police Department Bomb Squad

Monterey County Sheriff's Office Bomb Squad

San Mateo County Sheriff's Office Bomb Squad

Santa Clara County Sheriff's Office Bomb Squad

Santa Cruz County Sheriff's Office Bomb Squad

U.C. Berkeley Police Department Bomb Squad

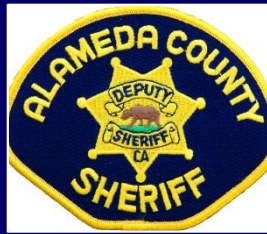U.S. Air Force Bomb Squad

Walnut Creek Police Department Bomb Squad

HOST AGENCIES

Black Command

Alameda County Sheriff's Office

Sheriff

Gregory J. Ahern

Blue Command

Alameda County Sheriff's Office

Sheriff

Gregory J. Ahern

Green Command

San Francisco Police Department

Chief

Greg Suhr

Gold Command

Alameda County Sheriff's Office

Sheriff Gregory J. Ahern

Palo Alto Police Department

Chief Dennis Burns

Purple Command

Lawrence-Berkeley National Laboratory

Director

Allen Benitez

Red Command

South San Francisco Fire Department

Chief

Philip White

Silver Command

San Mateo County Sheriff's Office

Sheriff

Greg Munks

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ALAMEDA COUNTY SHERIFF'S OFFICE
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EMERGENCY OPERATION CENTERS

- **1 Departmental Operation Center**
  - Alameda County Sheriff's Office – OHSES

- **6 Stationary Area Commands**
  - Alameda County Sheriff's Office EOC – SRJ
  - Lawrence Berkeley National Laboratory
  - San Francisco Police Department – EOC
  - San Leandro Police Department – EOC
  - San Mateo County Sheriff's Office – EOC
  - South San Francisco Fire Department - EOC

- **1 Mobile Area Command**
  - Alameda County Sheriff's Office/Palo Alto Police Department – OMC

MEDICAL CHECKPOINTS

QUEST QUALITY EMERGENCY & SAFETY TRAINING

- Alameda County Regional Training Center – Black Command

- Crystal Springs Reservoir – Silver Command

- Angel Island State Park – Green Command

- Old Mervyn's Headquarters – Blue Command

Scenarios

- 27 Tactical Scenarios

- 1 Technology Showcase

- 4 Medical Checkpoints

- 3 EOD Scenarios

- 12 Fire Scenarios

TERRORIST ATTACK ON PUBLIC TRANSIT

Host: BART Police Department - Silver Command

The teams were evaluated on their ability to conduct a hostage rescue in a crowded linear environment.

- *Location: Colma BART Station*

TRANSAMERICA PYRAMID CENTER TAKEOVER

Host: San Francisco Police Department - Green Command

This scenario was developed to test tactical teams response to a homegrown terrorist attack on a San Francisco high rise building.

- *Location: Transamerica Pyramid Center*
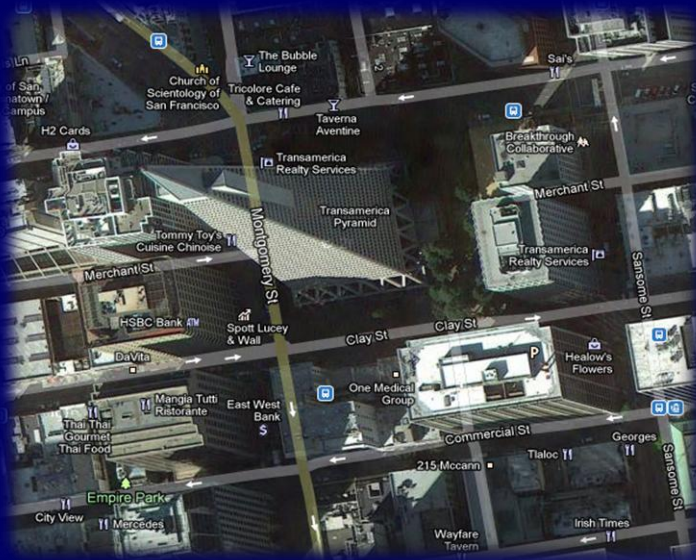
- *Technology: Digital Sandbox-Field Reporter*

HUMAN TRAFFICKING INTERDICTION

Host: Execushield - Green Command

Teams were evaluated on their ability to interdict a terrorist human trafficking ring by gathering/analyzing intelligence and rescuing hostages.

- *Location: Angel Island State Park*

- *Technology: Morovision-Night Vision*

CRITICAL INFRASTRUCTURE ASSAULT

Host: Modesto Police Department - Gold Command

Tactical teams were provided a scenario that required them to overcome challenges involving an unfamiliar infrastructure environment and perform a hostage rescue.

- *Location: San Francisco-Oakland Bay Bridge*

- *Technology: Recon-Robotics Throwbot XT*

COMMUTER TRAIN ASSAULT

Host: AMTRAK Police Department - Blue Command

Teams were evaluated on their ability to operate in a linear environment, intelligence gathering and utilizing a sound tactical approach.

- *Location: Oakland AMTRAK Yard*

- *Technology: Lion/Avon-Level 3 Suit*

MARITIME INTERDICTION

In support of this effort, tactical teams were provided with a scenario evaluating their ability to approach, board and secure a large ship in a marine environment.

- *Location: Former NAS Alameda, Pier 2*

MILITARY INSTALLATION ASSAULT

Tactical teams were evaluated on their ability to clear large open areas, gather intelligence and rescue any hostages.

- *Location: Naval Operations Support Center (NOSC) Alameda*

AIRPLANE HIJACKING

Teams were evaluated on their ability to use intelligence and equipment to conduct a dynamic hostage rescue.

- *Location: Oakland Airport*

- *Technology: Black OPS-Airsoft Weaponry, QinetiQ North America-Talon Robot*

CHEMICAL SABOTAGE

This scenario evaluated tactical teams ability to stop a hazardous chemical release in a large open area which could result in the exposure of hazardous materials to the public.

- *Location: Cull Canyon Park, Castro Valley*

Scenario #30:
Domestic Terrorist School Takeover

Host: Alameda County Sheriff's Office - Blue Command

Tactical teams were provided an active shooter scenario testing their ability to effectively engage the terrorist(s) and effect a rescue of the hostage(s).

- *Location: Canyon Middle School, Castro Valley*

- *Technology: Applanix-Mobile Mapping and Positioning Solutions (3D), Black OPS-Airsoft Weaponry*

HOSPITAL ASSAULT

Tactical teams were tested on their ability to maintain communications, search large areas, and maintain 360 degree coverage.

- *Location: Children's Hospital Research Facility, Walnut Creek*

- *Technology: Digital Sandbox-Field Reporter*

Digital Sandbox
Leader in Public Safety Risk Management

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Explosive Ordnance Disposal Response 2

Host: Alameda County Sheriff's Office – Black Command

Teams were also evaluated on their ability to effectively disarm any devices they encountered once on scene.

- *Location: Alameda County EOD Bomb Range*

EXPLOSIVE ORDNANCE DISPOSAL RESPONSE 3

Host: Alameda County Sheriff's Office – Black Command

Two teams worked together to enter a booby trapped apartment, render safe a number of booby traps, and analyze chemicals present for their use in IEDs.

- *Location: Alameda County EOD Bomb Range*

- *Technology: Alluvium-Hazmaster G3*

ENVIRONMENTAL TERRORIST SABOTAGE

Host: South San Francisco Fire Department – Red Command

Responding US&R task force teams were confronted with multiple and conflicting priorities while freeing two trapped construction workers.

- *Location: South San Francisco Fire Department Trench Rescue Training Site*

- *Technology: Dragon Fire-Gloves; Forcible Entry-Breachable Door*

IED Explosion in a Confined Space

Host: South San Francisco Fire Department – Red Command

An IED placed in a refinery pipe maze by environmental terrorists was accidently detonated by bomb squad personnel while attempting to render the device safe.

- *Location: South San Francisco Vehicle and Equipment Maintenance Facility*

- *Technology: Foxfury-Lighting*

BUILDING COLLAPSE

Host: South San Francisco Fire Department – Red Command

A member of the Sovereign Citizen movement drove a truck into a government building which resulted in a partial building collapse and fire.

- *Location: South San Francisco Fire Department Collapse Structure Training Facility*

- *Technology: Parrot-AR Drone*

HIGH-ANGLE RESCUE

Host: South San Francisco Fire Department – Red Command

The sniper attack on a government high-rise building by a Homegrown Violent Extremist (HVE) left two window washers injured.

- *Location: Boston Properties, South San Francisco*

COOPERATIVE USAR/HAZMAT RESPONSE

US&R and Hazmat task-force teams cooperatively responded to a car bomb detonated by extremists that had resulted in a collapse of a medical research facility.

- *Location: Alexandria Development Building, South San Francisco*

- *Technology: Applanix-Mobile Mapping and Positioning Solutions (3D); Western Shelter-Large Tents; Grainger-Trailer*

Regional Training Seminar & Vendor Show
Monday, October 29, 2012 | 0830 -1500 hrs.
Oakland Marriott Convention Center

Thwarting a Jihad in Brooklyn: The NYPD Raid That Stopped America's First Suicide Bombers
Samuel M Katz, Author, Advisor, Lecturer

Lessons Learned – Seal Beach Shooting
Tim Olson, Captain, Seal Beach Police Department

HOT WASH / DINNER BANQUET
MONDAY, OCTOBER 29, 2012 | 1700 - 2100 HRS.
OAKLAND MARRIOTT CONVENTION CENTER

- Program will include:

    - Traditional of Bagpipes and Honor Guards

    - Keynote Speaker

Raymond Smith, Rear Admiral, U.S. Navy (Retired)

    - Hot Wash

    - Presentation of Awards

Future Urban Shield Goals

- Prepare for potential Terrorist Threats and Natural Disasters.

- Identify New Technology and Operational Plans that will improve our Region.

- Coordinate efforts to address training and equipment gaps identified as a result of the Urban Shield Exercise.

- Incorporate the Core Capabilities into the planning methodology for Urban Shield Full-Scale Exercise

UrbanShield 2012

ALAMEDA COUNTY SHERIFF'S OFFICE

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To: Bay Area UASI Approval Authority

From: Catherine Spaulding, Assistant General Manager

Date: December 13, 2012

Re: Item #10: Filler Security Strategies Contract 2013-2014

Recommendation:

Management Team staff recommends that the Approval Authority approve the scope of work for Filler Security Strategies (FSS) for calendar year 2013 and 2014.

Discussion/Description:

Key tasks and deliverables are as follows:

Task	Estimated Cost	Estimated Due Date	Comparison to Prior Year
Bay Area Homeland Security Strategy	$21,000/ year	November 2013 and 2014	20% lower cost than in prior year. Includes creation of a new cyber security objective.
Effectiveness Report	$48,000	December 2014	30% lower cost than in prior year.
Measures and metrics for the capability assessment	$92,000	June 2013	New area of proposed work. To be vetted by SMEs at the Risk Management and Information Sharing Workgroup.
Integration of the Regional Catastrophic Preparedness Grant Program into the Urban Shield Full Scale Exercise	$57,000	December 2013	New area of proposed work. Developed with the Alameda Sheriff's Office.

Other tasks and deliverables include planning and advisory services and participation in the capability assessment and risk management program. Please note that the Management Team has assumed tasks previously performed by FSS, including writing the Annual Report, Investment Justifications (IJs), and the project proposal guidance for savings in the tens of thousands of dollars.

Total contract cost is approximately $150,000 per year for two years, for a total of $300,000. This is an approximate savings of about 20% compared to prior years. Please also note that due to savings from prior grant years, we anticipate requesting less than one half of the total contract cost ($150,000) from the fiscal year 2013 UASI grant.

Background

Josh Filler of Filler Security Strategies has worked with the Bay Area UASI since the fall of 2009 to provide strategic planning, risk management support, and effectiveness analysis. In addition to the Bay Area UASI, clients include the California Emergency Management Agency, the San Diego Urban Area, the New York State Office of Homeland Security, the City of New York, and many other Urban Areas in California and throughout the country. Please see www.fssconsulting.net for more information.

To: Bay Area UASI Approval Authority

From: Barry Fraser, Interim General Manager

Date: December 13, 2012

Re: Item #11: Report from the Bay Area Regional Interoperable Communications System Joint Powers Authority (BayRICS Authority)

Recommendations:

Receive and File Report

Action or Discussion Items:

Report from the Interim General Manager of the BayRICS Authority on the activities and progress of the BayRICS Authority for the month of November 2012.

Discussion/Description:

BayRICS Authority activities in a number of key areas are described below.

1. Administration

The BayRICS Authority Board of Directors will hold its next meeting on January 10, 2013. Staff activities in November and December have included: (1) discussion of potential interest in BayRICS assuming a role in the review and recommendation of funding allocations for regional interoperable voice communications projects; (2) compiling information from member jurisdictions about subscriber mobile and portable P25 device procurement planning and interest in participating in regional pooled procurement efforts; (3) conducting a survey of members regarding T Band Spectrum usage and future needs, in preparation for the mandated T Band spectrum giveback established earlier this year in the Spectrum Act; and (4) outreach efforts to the FirstNet Board regarding the BayWEB project and BTOP grant partial funding suspension.

Financial Audit: An accounting firm hired by the BayRICS Authority is in the final stages of preparing an annual audit, as specified in Section 3.03 of the BayRICS Joint Powers Authority Agreement. Staff will provide copies of the audit to the Approval Authority when completed.

2. Sites and Backhaul Status

Jurisdictions are continuing to qualify sites through the zoning approval process, where required. However, site activities have slowed somewhat, due to a lack of clarity about FirstNet's programmatic goals and scaling back of Motorola efforts in order to preserve funding. Discussions with BART, CENIC and other fiber providers have slowed for similar reasons.

3. 700MHz Spectrum Waiver, Spectrum Act and FirstNet Update

FirstNet Board Update

BayRICS Authority Chair and staff will travel to Washington DC to attend the FirstNet Board meeting scheduled for Tuesday December 11. In addition, a sub-group of FirstNet Board members has set up a series of meetings with the seven BTOP public safety grantees to review their respective projects, including a meeting scheduled with Motorola on December 18. BayRICS Board Chair and Vice Chair and Technical Advisory Committee Chair and Vice Chair will represent BayRICS at this meeting.

FirstNet Public Safety Advisory Committee (PSAC)

The Middle Class Tax Relief and Job Creation Act of 2012 set out a requirement that the FirstNet Board establish a standing public safety advisory committee to assist it in carrying out its duties and responsibilities. FirstNet at its inaugural meeting in September adopted a resolution designating a subgroup of SAFECOM as its public safety advisory committee, and directed Chairman San Ginn to work with the Department of Homeland Security to establish the Committee and appoint the Chairman and Vice Chairs of the committee. On November 20, Chairman Ginn announced the appointment of four Vice Chairs of FirstNet's Public Safety Advisory Committee (PSAC):

> Chief Bill McCammon, Alameda County, California, representing the Metropolitan Fire Chiefs Association;
> Paul Patrick, Salt Lake City, Utah, representing the National Association of State EMS Officials;
> Heather Hogsett, Washington, D.C., representing the National Governors Association;
> Tom Sorley, Houston, Texas, representing the U.S. Conference of Mayors.

Chairman Ginn had previously announced the appointment of Chief Harlin McEwen as the Chairman of the PSAC. Chief McEwen represents law enforcement and, with the four Vice Chairs, will make up the PSAC Executive Committee. McEwen was previously the Executive Director of the Public Safety Spectrum Trust.

NTIA Notice of Inquiry (NOI)

BayRICS filed comments in response to the NTIA NOI on conceptual architecture model and applications development on November 6, 2012. Staff has also responded to FirstNet with responses to several questions about these comments. In addition, BayRICS staff and Technical Advisory Committee (TAC) Chair Ahsan Baig participated in comments filed for the 21 former waiver recipients, who have renamed their group as the Early Builders Advisory Committee (EBAC). Those comments were filed on November 8, 2012, and have also generated specific responses from the FirstNet Board.

To: Bay Area UASI Approval Authority

From: Barry Fraser, Interim General Manger, BayRICS Authority

Date: December 13, 2012

Re: Item #12: Interoperable Voice Communications Projects

Recommendations:

That the Approval Authority consider revisions to the 2013 Grant Allocation process that would (1) allocate funding "off the top" for regional voice communications projects; and (2) request that the Bay Area Regional Interoperable Communications Systems Authority (BayRICS) review the proposed funding priorities for regional voice communications projects developed by the Approval Authority's Interoperability Work Group and make a final recommendation to the Approval Authority for allocating regional interoperable voice communications funding.

Discussion/Description:

> **The UASI has Identified Regional Interoperable Communications as a Major Area for Capabilities Improvement, but the Focus and Funding for These Projects has Declined Significantly in Recent Years**

According to the UASI's 2012 Grant Effectiveness Report, interoperable communications efforts are a key area for capability improvement. In 2008, the Approval Authority developed a five-year strategic plan to achieve region-wide interoperable communications among emergency responders in the Bay Area. The Bay Area has created four sub-regional entities to deploy Project 25 (P25) voice communications upgrades within their sub-regions, which would, when complete, achieve the vision of a regional interoperable voice network.

However, in recent years the regional plan has stalled and interoperable communications funding has declined. According to an Approval Authority report presented in August 2011, UASI has awarded over $43 M for interoperability since 2006, but funding for these regional projects has declined significantly in recent years. For UASI 2012, Interoperable Communications

allocations amounted to only $2.255 million. Meanwhile, a funding gap of over $125 million remains to complete the four regional projects and achieve full interoperability in the region.

One reason for this decline in funding can be attributed to the emphasis on allocation decisions based on the hub process, with less emphasis on regional vision and planning. The hub process works very well for allocating funding for projects required for a single agency or small group of agencies. However, interoperable communications projects, by their nature, require regional strategy, regional coordination and a regional funding plan in order to maximize their value to the entire region.

The BayRICS Authority Is Well-Positioned To Coordinate Regional Project Funding

The BayRICS Authority was created precisely to further this regional emphasis on interoperable communications. BayRICS's purpose, as stated in its Joint Powers Authority Agreement, is to "develop and establish . . . advanced information systems for interoperable public safety communications" (specifically including BayWEB and BayLoop) and to "cooperatively address the challenges of sustaining and managing shared interoperability assets and projects specific to voice and data communications." BayRICS is specifically charged with identifying funding mechanisms and system funding plans for these systems.

The Board is comprised of 13 Bay Area public safety leaders with vision and political savvy to drive regional coordination. BayRICS staff and Technical Advisory Committee (TAC) members have extensive technical and operational expertise in interoperable communications. Staff has experience developing system funding plans and could quickly develop a plan to assess gaps, allocate available resources and propose innovative funding solutions

BayRICS has already begun to take on regional voice communications initiatives, in recent months assuming a leadership role in regional projects such as BayLoop, FleetMap P25 channel mapping and regional concerns about T-Band Spectrum givebacks. At it its November meeting, BayRICS Directors directed staff to begin research on a regional pooling plan for bulk purchases of P25 mobile and hand-held voice devices. BayRICS can also ensure an open, transparent decision-making process, as both the BayRICS Board and TAC comply with Brown Act open meetings rules.

Two of the four sub-regional P25 communications systems entities have expressed strong support for BayRICS as regional coordinator for voice communications planning. In addition, BayRICS staff has discussed this proposal with members of the UASI Management Team, who concurred with this approach.

The Approval Authority Should Consider Adopting a Regional Approach to Interoperable Communications Funding

Staff proposes that the Approval Authority consider revisions to the UASI 2013 grant allocation process to allow funding for regional interoperable voice communications to be taken "off the top" and allocated on a regional basis to further the regional needs of the Bay Area. In addition, the Approval Authority should consider taking advantage of the BayRICS Authority's expertise and regional leadership, by requesting that BayRICS review and validate regional funding priorities developed by the UASI Interoperability Work Group and make a final recommendation to the Approval Authority for allocating regional interoperable voice communications funding.

For UASI 2013, BayRICS staff recommends that the role of the BayRICS Authority should be limited to reviewing the proposed funding priorities developed by the Interoperable Working Group, and providing a final recommendation for regional funding to the Approval Authority. This change would eliminate the need for hub review of these regional projects, and would preserve the regional approach to funding these projects. BayRICS would provide a formal recommendation to the Approval Authority for regional communications funding no later than February 14, 2013.

For future years, the Approval Authority should consider working with BayRICS to update and revise the Bay Area's strategic plan for interoperable communications and develop a clear path forward for allocating funding for these critical projects. This revised strategic plan should focus on the most efficient use of limited UASI funding and development of other funding alternatives to fill in the gaps, to allow the Bay Area to optimize the region's interoperable communications capabilities in the near future.

UASI Approval Authority and Management Team Tracking Tool

December 13, 2012 Approval Authority Meeting

		Special Request Items/Assignments				
#	**Name**	**Deliverable**	**Who**	**Date Assigned**	**Due Date**	**Status / Comments**
1	Statewide Debris Removal Plan	Presentation	Mary Landers	11/1/12	1/10/13	Ms. Landers will report on the Statewide Debris Removal Plan project
2	Bay Area UASI Website Presentation	Presentation	Elizabeth Holden	6/04/12	1/10/13	Ms. Holden will present the Bay Area UASI website project.
3	Regional Training and Exercise Program Annual Report	Presentation	Dennis Houghtelling	11/20/12	1/10/13	
4	Cyber Security	Presentation	Mike Sena	11/8/12	1/10/13	
5	Presentation on Land-Use Recovery Strategy and Regional Resilience Initiative Gap Analysis	Presentation	Elizabeth Holden, SPUR, and ABAG representatives	11/1/12	2/14/13	
6	Risk Allocation Funding Formula	Presentation	Catherine Spaulding and Jason Carroll	11/20/12	1/10/13 or 2/14/13	
		Regular Items/Assignments				
#	**Name**	**Deliverable**	**Who**	**Date Assigned**	**Due Date**	**Status / Comments**
A	UASI Quarterly Reports	Report	Tristan Levardo		1/10	1/10 2011 UASI 2/14 RCPGP and Travel Expense 3/14 IECGP 4/11 2010 UASI 5/9 2011 UASI and Travel Expense
B	UASI Advisory Group Report	Report	Mike Sena, Chair		1/10	Update from the Advisory Group Meeting
C	BayRICS JPA Progress Report	Report	Barry Fraser		1/10	Update from the BayRICS JPA
D	RCPT Advisory Group	Report	Janell Myhre		1/10	Update on the status of RCPGP projects.
E	Ad Hoc Legislative Committee	Report	Renee Domingo			Update and report from the Ad Hoc Legislative Committee.
F	Budget reallocations under $250,000	Report	Tristan Levardo		3/14/13 and biannually thereafter	
G	Election of UASI Officers	Discussion & action item	Chair		12/13 and annual thereafter	